



UNITED STATES DEPARTMENT OF EDUCATION
STUDENT FINANCIAL ASSISTANCE
CASE MANAGEMENT & OVERSIGHT
CASE MANAGEMENT DIVISION - SOUTHEAST

Dr. Cecil L. Groves
President
Southwestern Community College
447 College Drive
Sylva, NC 28779-8581

MAY - 9 2001

OPE ID 00846600

Dear Dr. Groves:

The Atlanta Case Management Team is pleased to inform you that, based upon the information included in your Application for Approval to Participate in Federal Student Financial Aid Programs (ED Form E40-34P), the Secretary of Education (Secretary) has determined that Southwestern Community College (Institution) satisfies the definition of an eligible institution under the Higher Education Act of 1965, as amended (HEA). Southwestern Community College will be listed in the next edition of the Directory of Postsecondary Institutions published by the U.S. Department of Education (Department).

OPE ID NUMBER

The OPE ID Number 00846600 is a unique identifier for the Institution. The OPE ID Number will also be the Institution's identification number for the Title IV, HEA programs. Please use the OPE ID Number in all communications with the Department.

ENCLOSURES

Enclosed, please find a copy of the **Eligibility and Certification Approval Report (ECAR)** and the **Program Participation Agreement (PPA)** that has been signed on behalf of the Secretary. Together, the PPA and the ECAR constitute the Atlanta Case Management Team's determination that the Institution has qualified to participate in programs under the Higher Education Act of 1965, as amended (HEA) and the Federal student financial assistance programs (Title IV, HEA programs).

The Institution must retain the ECAR and the PPA together.

ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

The ECAR contains the most critical of the data elements that form the basis of the Institution's approval, and also a list of the highest level of offering, any nondegree or short term training programs, and any additional locations that provide 50 percent or more of an educational program that have been approved for the Title IV, HEA programs. The Institution may not award, distribute or disburse any Title IV, HEA program funds for any educational or training program that is beyond the scope of the approval contained in the ECAR, nor for any additional location providing 50 percent or more of an educational program that has not been approved and is not listed on the ECAR.

- In order to comply with the requirements of 34 CFR 668(1), some vocational or nondegree programs may have been approved for fewer credit hours than requested in the Institution's application.
- Vocational and nondegree programs that do not meet the requirements of 34 CFR Parts 600 and 668 have not been approved and are marked in the ECAR as not approved.
- The listing of Vocational Programs in the ECAR contains those nondegree programs that the Atlanta Case Management Team has determined are eligible programs for participation in the Title IV, HEA programs.
- The ECAR contains a list of HEA programs other than Title IV, HEA programs, for which the Institution is eligible to apply. This list does not mean that the Institution will automatically be eligible to participate in or receive funds under any HEA competitive grant program. Information concerning applications for, and the individual requirements of, the competitive grant program can be obtained from:

Deputy Assistant Secretary Office of Higher Education Programs U.S. Department of Education 400 Maryland Avenue, S.W. Washington, DC 20202-5140

PROGRAM PARTICIPATION AGREEMENT

The PPA contains the agreement between the Institution and the Secretary concerning the Institution's participation in the Federal student financial assistance programs (Title IV, HEA programs).

CERTIFICATION FOR TITLE IV, HEA PROGRAMS

As explained in the PPA, Title IV, HEA programs administered by participating educational institutions are subject to applicable laws, regulations, and guidelines. Listed below are the appropriate telephone numbers for further information on the HEA programs:

- Federal Pell Grant Program (202) 708-7741
- Federal Family Education Loan Program (202) 708-8242
- Federal Direct Student Loan Program¹ (202) 708-6103
- Federal Campus-Based Programs² (202) 708-7741

If the Institution does not already participate in these Title IV, HEA programs, the Institution must complete Precertification Training³ prior to certifying any loans or disbursing any Title IV, HEA funds. Completion of this training must be not earlier than one year before beginning to participate in any Title IV, HEA program for which the Institution has not previously participated.

Participating educational institutions will be reviewed at least once every six years to determine whether the institutions remain administratively capable and financially responsible to administer Title IV programs and funds.

REPORTING AND REAPPLICATION REQUIREMENTS

The Institution must report promptly to the Department certain changes and actions that affect the Institution's participation approval, as specified in 34 CFR 600 and 668, including, but not limited to:

- Change of name and/or address;
- Change in the way the Institution measures educational program length;
- Change in the level of course offerings;
- Additions and/or closures of non-main campus locations that offer at least 50% of an educational program;

¹ If the Institution wishes to begin participating in the Direct Loan Program or to request a change in its origination option, contact the number above

² The Federal Campus-Based Programs are (a) the Federal Supplemental Educational Opportunity Grant Program, (b) the Federal Work-Study Program, and (c) the Federal Perkins Loan Program. To obtain funding under any or all of these programs, the Institution must file the Fiscal Operations Report and Application to Participate (FISAP) annually. FISAP packages are typically available at the end of July each year and the due date for electronic submission of this data is generally a postmark or transmission date of October 1. Please keep in mind that the October 1 submission is to obtain funding for the Award Year that begins the following July 1.

³ For information concerning Precertification Training, contact the Atlanta Team at the telephone number listed later in this letter. If you wish to register for Precertification Training, please call (202) 260-1928.

- Change of primary accrediting agency;
- New contract or significant modification of existing contract with a third party servicer;
- Change of the State agency that confers legal authority on the Institution to offer programs of postsecondary education;
- Change in ownership *whether or not* that ownership change results in a change in control of the Institution; or
- Change in exercise of a person's substantial control over the Institution, e.g., a change in the chief executive officer or members of the board of trustees or board of directors.

If the Institution fails to report any such changes within ten days after the change occurs, the ability of the Institution to administer the Title IV student financial assistance programs properly will be called into question. As a consequence, we will consider whether it is necessary to monitor the Institution's receipt of Federal funds more closely. Failure to report changes within the time frame required may also result in an adverse action being taken against the Institution in accordance with 34 CFR 668, Subpart G.

Automatic Termination of Approval

This Approval for Institutional Participation automatically terminates on the happening of any of the following events:

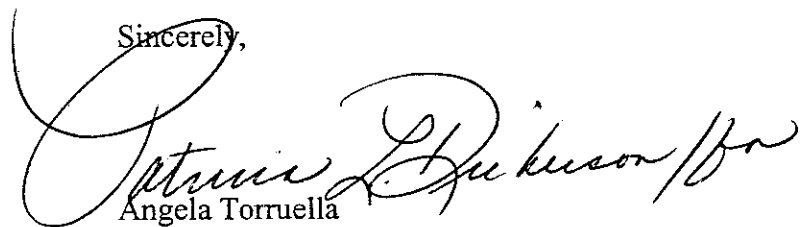
- March 31, 2007;
- The date the Institution loses the legal authority to offer programs of postsecondary education in the State in which it is located;
- The date the Institution loses accreditation from its designated primary accrediting agency;
- The date the Institution ceases to offer all approved postsecondary instruction;
- The date the Institution merges with another institution;
- The date the Institution undergoes a change in ownership resulting in a change of control;
- The date the Institution files for bankruptcy; or
- The date the Institution otherwise ceases to meet the definition of an eligible institution of higher education.

Please send all information or documentation required by this letter to:

<i>if by mail:</i>	<i>if by overnight mail/courier delivery:</i>
United States Department of Education Office of Student Financial Assistance Programs, Schools Channel Case Management Division -- SOUTHEAST Attention: Atlanta Team 400 Maryland Avenue, SW GSA Building, Room 3915 Washington, DC 20407	United States Department of Education Office of Student Financial Assistance Programs, Schools Channel Case Management Division -- SOUTHEAST Attention: Atlanta Team 7th & D St., SW GSA Building, Room 3108A Washington, DC 20202

The telephone number for the Atlanta Team is (202) 260-6316. The fax number is (202) 260-3605.

Sincerely,



Angela Torruella
Director

Enclosures: Program Participation Agreement
Eligibility and Certification Approval Report

cc: Ms. Lawrence, Financial Aid Administrator (less enclosures)



UNITED STATES DEPARTMENT OF EDUCATION

CASE MANAGEMENT & OVERSIGHT ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

DATE PRINTED: 05/09/2001

PAGE A - 1

NAME AND ADDRESS OF INSTITUTION: SOUTHWESTERN COMMUNITY COLLEGE
447 COLLEGE DRIVE
SYLVA, NC 28779-8581

TYPE OF INSTITUTION: Public

CONGRESSIONAL DISTRICT:	11	ACTION DATE:	04/25/2001
DEPARTMENT REGION:	04	ACTION:	Reapprove Elig/Full Cert
CASE TEAM:	04		

OPE ID	:	008466	00	FEDERAL PELL GRANT ID	:	008466
TIN ID	:	560894556		FEDERAL FAMILY EDUCATION LOAN ID	:	008466
IPEDS ID	:	199731		FEDERAL DIRECT STUDENT LOAN ID	:	003172
DUNS NBR	:	055397822		FEDERAL PERKINS LOAN ID	:	003172
PIN ID	:	4334		FEDERAL WORK - STUDY ID	:	003172
				FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANT ID	:	



CASE MANAGEMENT & OVERSIGHT

ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

DATE PRINTED: 05/09/2001

PAGE A - 2

INSTITUTION NAME : Southwestern Community College
OPE ID : 008466 00

ELIGIBLE : Y
INITIAL APPROVAL DATE : 05/01/1968
CERTIFIED : Certified

LOAN DEFERMENT : Y
PROGRAM PARTICIPATION AGREEMENT

ACADEMIC CALENDAR : Semester Hours
HIGHEST EDUCATIONAL PROGRAM OFFERED : Associate's Degree

EFFECTIVE DATE : 05/09/2001
EXPIRATION DATE : 03/31/2007

WAIVER(S):

**** End Of Waivers ****

THE INSTITUTION IS ELIGIBLE TO APPLY FOR PARTICIPATION IN THE FOLLOWING PROGRAMS AUTHORIZED UNDER THE HIGHER EDUCATION ACT OF 1965, AS AMENDED:

TITLE I	Y	TITLE IV	Y	TITLE VII	Y	TITLE X	Y	TITLE XIII	Y
TITLE II	Y	TITLE V	Y	TITLE VIII	Y	TITLE XI	Y	TITLE XIV	Y
TITLE III	Y	TITLE VI	Y	TITLE IX	Y	TITLE XII	Y	TITLE XV	Y

TITLE IV STUDENT FINANCIAL ASSISTANCE PROGRAMS

PROGRAM	CERTIFIED	APPROVAL DATE	PROGRAM	CERTIFIED	APPROVAL DATE
FWS Com Serv	Y	05/01/1968	FWS Priv Sec Empl	Y	05/01/1968
FWS Job Loc Dev	Y	01/01/1985	FFEL Staff	Y	01/01/1985
FFEL Staff Unsub	Y	05/01/1968	FFEL PLUS	Y	05/01/1968
FPerkins	Y	05/01/1968	FSEOG	Y	05/01/1968
FPell	Y	05/01/1968	FDSL P Staff	Y	05/01/1968
FDSL P Staff Unsub	Y	05/01/1968	FDSL P PLUS	Y	05/01/1968

**** End Of Section ****



CASE MANAGEMENT & OVERSIGHT

ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

DATE PRINTED 05/09/2001

ACCREDITATION SECTION

PAGE B - 1

INSTITUTION NAME : Southwestern Community College
OPE ID : 008466 00
INSTITUTION TYPE : Public

ACCREDITATION

ACCREDITING AGENCY	DESIGNATION	SCOPE	EFFECTIVE YEAR	NUMBER OF YEARS
Southern Association of Colleges and Schools Commission on Colleges	Primary	Institutional	1996	10

**** End Of Accreditation Section ****



CASE MANAGEMENT & OVERSIGHT

ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

DATE PRINTED 05/09/2001

STATE AUTHORIZATION SECTION

PAGE C - 1

INSTITUTION NAME : Southwestern Community College
OPE ID : 008466 00
INSTITUTION TYPE : Public

STATE AUTHORIZATION

STATE AGENCY

NC Community College System

**** End Of State Authorization Section ****



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ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

DATE PRINTED 05/09/2001

OFFICIALS SECTION

PAGE D - 1

INSTITUTION NAME : Southwestern Community College
OPE ID : 008466 00
INSTITUTION TYPE : Public

OFFICIALS

NAME AND ADDRESS	TITLE	PHONE	FAX	INTERNET
Groves, Cecil L 447 College Drive Sylva, NC 28779-8581	President	(828) 586-4091 x242	(828) 586-6141	cgroves@southwest.cc.n c.us
Lawrence, Melody L 447 College Drive Sylva, NC 28779-8581	Financial Aid Officer	(828) 586-4091 x224	(828) 586-3129	mlawrence@southwest.c c.nc.us
Stanley, George T 447 College Drive Sylva, NC 28779-8581	Vice President for Administrative Services	(828) 586-4091 x230	(828) 586-3129	georges@southwest.cc.n c.us

**** End Of Officials Section ****



CASE MANAGEMENT & OVERSIGHT
ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

DATE PRINTED: 05/09/2001

ADDITIONAL LOCATIONS SECTION

PAGE E - 1

INSTITUTION NAME : Southwestern Community College
OPE ID : 008466 00
INSTITUTION TYPE : Public

ADDITIONAL LOCATIONS

<u>OPE ID</u>	<u>NAME AND ADDRESS</u>	<u>APPROVED FOR CERTIFICATION</u>	<u>INITIAL</u> <u>APPROVAL DATE</u>
		**** End Of Section ****	





CASE MANAGEMENT & OVERSIGHT

ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

DATE PRINTED: 05/09/2001

VOCATIONAL PROGRAMS SECTION

PAGE F - 1

INSTITUTION NAME : Southwestern Community College
 OPE ID : 008466 00
 INSTITUTION TYPE : Public

VOCATIONAL PROGRAMS

CIP	INSTITUTION'S PROGRAM NAME	APPROVED	FIRST OFFERED	CREDIT HOURS	TYPE	CLOCK HOURS	DURATION IN WEEKS
02.0301	Culinary Technology Certificate	Y	08/10/1997	16	Semester Hours	368	32
11.0201	Information Systems Computer Programming Certificate	Y	08/10/1997	18	Semester Hours	400	16
11.9999	Information Systems Computer Applications Certificate	Y	08/10/1997	17	Semester Hours	384	16
12.0403	Cosmetology Instructor Certificate	Y	09/10/1976	27	Semester Hours	832	32
12.0403	Cosmetology Diploma	Y	08/10/1997	45	Semester Hours	1470	58
13.9999	Occupational Education Associate Certificate	Y		18	Semester Hours	320	16
13.9999	Occupational Education Associate Diploma	Y	08/10/1997	42	Semester Hours	768	48
15.0399	Electrical/Electronics Technology Certificate	Y	08/10/1997	17	Semester Hours	528	32
15.0501	Heating, Air Conditioning and Refrigeration Tech./Technician	N	07/13/1989	66	Quarter Hours	1309	44
24.0102	General Studies	N	03/18/1985	45	Quarter Hours	605	44
46.0101	Masonry	Y		18	Semester Hours	544	16
46.0101	Mason and Tile Setter	N	02/02/1967	70	Quarter Hours	1287	44



CASE MANAGEMENT & OVERSIGHT

ELIGIBILITY AND CERTIFICATION APPROVAL REPORT

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VOCATIONAL PROGRAMS SECTION

PAGE F - 2

INSTITUTION NAME : Southwestern Community College
 OPE ID : 008466 00
 INSTITUTION TYPE : Public

VOCATIONAL PROGRAMS

CIP	INSTITUTION'S PROGRAM NAME	APPROVED	FIRST OFFERED	CREDIT HOURS	TYPE	CLOCK HOURS	DURATION IN WEEKS
46.0201	Carpenter	N	09/09/1991	71	Clock Hours	1309	44
46.0302	Electrician	N	08/24/1987	68	Quarter Hours	1166	77
46.0501	Plumbing Certificate	Y	06/03/1971	20	Semester Hours	608	16
46.0501	Plumber and Pipefitter	N	06/03/1971	66	Quarter Hours	1188	44
47.0604	Auto/Automotive Mechanic/Technician	N	07/15/1994	52	Quarter Hours	1199	44
48.0212	Information Systems Desktop Publishing Certificate	Y	08/10/1997	17	Semester Hours	368	16
48.0508	Welder/Welding Technologist	N	10/02/1980	73	Quarter Hours	1287	44
51.0707	Medical Coding Diploma	Y	08/10/1997	43	Semester Hours	900	42
51.0708	Medical Transcription Certificate	Y	08/10/1997	16	Semester Hours	352	16
51.0907	Medical Sonography Diploma	Y	01/06/2000	51	Semester Hours	1552	48
51.0908	Respiratory Therapy Technician	N	11/12/1987	78	Quarter Hours	1375	44
51.0909	Surgical Technology Diploma	Y	08/20/2001	39	Semester Hours	1170	42
51.1099	Health Care Technology Certificate	Y	08/10/1997	18	Semester Hours	512	16
52.0499	Office Systems Technology Certificate	Y	08/10/1997	16	Semester Hours	336	16



CASE MANAGEMENT & OVERSIGHT

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VOCATIONAL PROGRAMS SECTION

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INSTITUTION NAME : Southwestern Community College
 OPE ID : 008466 00
 INSTITUTION TYPE : Public

VOCATIONAL PROGRAMS

CIP	INSTITUTION'S PROGRAM NAME	APPROVED	FIRST OFFERED	CREDIT HOURS	TYPE	CLOCK HOURS	DURATION IN WEEKS
52.0902	Hotel & Restaurant Management Diploma	Y	08/10/1997	33	Semester Hours	992	42

**** End Of Section ****



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OWNERSHIP SECTION

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INSTITUTION NAME : Southwestern Community College
OPE ID : 008466 00
INSTITUTION TYPE : Public

OWNERSHIP

<u>TIN</u>	<u>LEVEL</u>	<u>NAME</u>	<u>PERCENT OWNED</u>	<u>START DATE</u>
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**** End Of Section ****





**CASE MANAGEMENT & OVERSIGHT
ELIGIBILITY AND CERTIFICATION APPROVAL REPORT**

DATE PRINTED : 05/09/2001

THIRD-PARTY SERVICER INFORMATION

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INSTITUTION NAME : Southwestern Community College
OPE ID : 008466 00
INSTITUTION TYPE : Public

SERVICER INFORMATION

SERVICER NAME	CONTACT NAME	SERVICES OFFERED
Edtech, Inc. 204 East 25th Street Kearney, NE 68848	Terry Sinnard	Other

**** End Of Section ****