Basic Skills Policy & Procedures Manual For Community Colleges

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MISSION OF BASIC SKILLS

The mission of the Basic Skills program is to assist adults to become literate and obtain the knowledge and skills necessary for employment and self-sufficiency, assist adults who are parents to obtain the educational skills necessary to become full partners in the educational development of their children, and assist adults in the completion of a secondary school education. The North Carolina Community College System provides educational opportunities for adults to improve their reading, writing, mathematics, and communication skills through five major program components.
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ADULT BASIC EDUCATION (ABE)

Adult Basic Education Programs
Adult Basic Education (ABE) is a program of instruction designed for adults who need to improve their reading, writing, speaking, problem solving, or computation skills to function more effectively in society, on a job or in the family. ABE students function below a high school level. The four levels of ABE are as follows:

- Beginning ABE Literacy
- Beginning ABE
- Low Intermediate ABE
- High Intermediate ABE

Adult Basic Education Curriculum
There is no set ABE curriculum. Colleges may choose curricula that best fit the needs of their students. Adult Literacy Media Alliance (ALMA) video-tapes which have been distributed to all community colleges are excellent for ABE students. Also, Appalachian State University through its Adult Basic Skills Professional Development Project has distributed to all colleges manuals and video-tapes which outline appropriate teaching techniques for ABE students. (Note: Caldwell Community College and Technical Institute developed excellent reading and math curricula, Project Independence, for intermediate ABE students. All college programs were given copies of the curricula.)

Assessment of Adult Basic Education Students
All Adult Basic Education students should be pre-tested with a standardized assessment upon entering the program and placed into one of the four ABE levels based on the lowest assessment score.
Adult High School Diploma Programs
Adult High School (AHS) is a program of instruction offered cooperatively with local public school systems to help adults earn an Adult High School Diploma.

Agreement of Affiliation
To offer an Adult High School Diploma program, colleges must sign an “Agreement of Affiliation” with their local public schools for students who are 16 and 17 years old. (CC-97-222) Blank copies of the “Agreement of Affiliation” may be obtained from the System Office. Once an agreement is signed by both community college and local school officials, a copy must be sent to the NCCCS GED Office.

Units Required for Program
Programs may choose to set a different number of units required for minors than they do for other adults. The minimum number of units for all students is 20, but programs may work with local public schools and decide to require units above the 20 minimum and up to the number of units required by the local educational agency. (CC-97-222)

Movement from Low Adult Secondary to High Adult Secondary
All Adult High School students should be pre-tested with a standardized assessment upon entering the program and placed into low adult secondary or high adult secondary based on the lowest assessment score. Students who score below 9.0 or its equivalent must be placed in the appropriate ABE level until a post-test score shows movement into adult secondary.

Movement from low adult secondary to high adult secondary may be determined by two methods for the Adult High School Diploma program: (1) post-test scores or (2) completion of 50% of the requirements for the Adult High School program. (For example, a college that requires students to complete 20 units may move students from low adult secondary to high adult secondary upon the completion of 10 units.) Students who score 10.9 or below (or the equivalent) and have completed 50% or more of the requirements for the Adult High School program should be placed in low adult secondary until successful completion of at least one Adult High School credit. (CC01-005)

Tuition for Adult High School Diploma Courses
All Basic Skills programs are free of charge; therefore, colleges may not require students to pay tuition for other college programs and transfer credits into the Adult High School Diploma program.

Books for Adult High School and GED
Colleges may suggest that Adult High School or GED students purchase textbooks, but should make available free access to books in classrooms. Programs do not have to provide free of charge books for students to write in, only to read. It is highly recommended that all books used in both programs are made available in a laboratory or library setting for students to use outside of class.
Work Experience for Adult High School
The purpose of the AHS intern student work practicum is to promote workforce development by giving academic credit for work competencies mastered by a student who is pursuing a high school education through the AHS diploma program. The concept of the AHS intern student work practicum is for a student to practice workforce development basic skills learned in the classroom by applying these skills at the workplace. The practicum is an extension of the classroom to the workplace where the student practices those types of attributes, represented as work competencies, deemed to be essential by employers for a productive workforce. The supervising employer and the student's instructor certify the accomplishment of each work competency and give credit only when the work is performed, at least, at a minimal standard for the work. The work experience shall not exceed 160 hours per student as cited under Title 23NCAC2D.0324 (e). For FTE reporting purposes, a college may not report more hours per student than the number of hours specified in the instructor’s contract. If multiple instructors are used for supervision of the AHS work experience component, the total hours paid to all instructors for the work experience may be totaled and equal up to 80 hours or 160 hours, depending upon the number of work experience units for which the student is registered. One instructor should be designated as the lead instructor for the work experience component with the contract listing the other instructor contract numbers.

The work experience component for each 80 hours of work experience for one unit of elective credit should be completed within the semester or no longer than a twenty-one week period. The instructor, at a minimum, must monitor the work site at least once during the 80 hours of work experience. (CCS99-027)
ADA Legislation
The Americans with Disabilities Act was enacted to make all aspects of American society more accessible to people with disabilities, whether physical or psychological.

Serving ADA Students
One of the most important areas of ADA is physical access. Programs must provide physical access to and within the building where students come for services. Also, under the ADA Act, all programs are required to provide “reasonable accommodations” to students with disabilities. Those disabilities include both physical disabilities and learning disabilities.

GED Testing Accommodations
GED Testing Service provides accommodations for students with documented disabilities. GED Testing may grant accommodations to GED candidates with three different types of documented disabilities: 1) medical disabilities such as cerebral palsy, epilepsy, or blindness; 2) emotional disabilities such as schizophrenia, major depression, attention deficit disorder, or Tourette Syndrome and 3) specific learning disabilities such as perceptual handicaps, brain injury, minimal brain dysfunction, dyslexia, and developmental aphasia. (GED Examiner’s Manual, Section 12).

Funding for ADA Students
Local colleges must provide funding for ADA needs. Some colleges have a separate fund for ADA, but others take the funding from each program as needed. Check with your local college ADA administrator.
ASSessment for Basic Skills

Self-Referral to Basic Skills Classes
Due to Title II (Adult Education and Family Literacy Act) of the Workforce Investment Act, all students entering Basic Skills classes must be assessed by a standardized test. Students can no longer “self-refer” into the program. (CC00-227)

Standardized Assessments for Basic Skills
All Basic Skills students should be pre- and post-tested with a standardized Basic Skills assessment. The following assessments are the only ones approved for North Carolina Basic Skills programs:

Adult Basic Education
- Comprehensive Adult Student Assessment System (CASAS)
- Test of Adult Basic Education 7 & 8 (TABE)
- *ABLE
- *AMES (Steck-Vaughn)

Adult Secondary Education (GED and Adult High School)
- Comprehensive Adult Student Assessment System (CASAS)
- Test of Adult Basic Education 7 & 8 (TABE)
- *ABLE
- *AMES (Steck-Vaughn)

Compensatory Education (CC01-035)
- Comprehensive Adult Student Assessment System (CASAS)

English as a Second Language
- BEST
- Comprehensive Adult Student Assessment System (CASAS)
  (CC-99-174)

(* May be used, but not recommended because these assessments are out of print)

Assessment Procedures
Students must be pre- and post-tested annually with an approved Basic Skills assessment (see list above). The only exception to this rule is when students enter a program during the last 30 days of a program year. In that case, that assessment may count as a pre-test for the following program year. The basic rule is that students should be tested at least twice per year. Students must be post-tested according to directions for each standardized test. Most tests recommend post-testing after 50 to 100 hours of instruction. Most students should not be post-tested with less than fifty hours of instruction.

Although overall test results should be shared with students, no students should be given the correct answers to the test questions that they missed.

Lowest Score Determines Placement
Students should be placed into adult education levels based on their lowest test score. If a student takes both TABE math and reading tests, scoring 8.9 on math and 10.0 on reading, that student should be placed in High Intermediate ABE.
**Use of Alternate Test Forms**

Directions for all standardized tests require that test forms be alternated. Example: Give TABE 7 as a pre-test and TABE 8 as a post-test. The next test in the sequence would be TABE 7, followed by TABE 8.
ASSESSMENT HOURS FOR BASIC SKILLS

Students Assessed Who Receive Instruction
Any Basic Skills student’s initial assessment, testing and retesting hours may be counted only
when the student receives Basic Skills instruction in addition to the initial placement
assessment. In this situation, both the assessment hours and the instructional hours may be
reported for budget FTE. This applies for all Basic Skills classes. These students must be
registered for the appropriate Basic Skills class. (CC-95-185)

Students Assessed Who Do Not Receive Instruction
If a student is given an assessment and receives no Basic Skills instruction, the student
assessment hours may not be reported for budget FTE. (CC-95-185)

Reporting GED Assessment Hours
The hours a student takes to complete the General Educational Development (GED) exam are
reportable for budget/FTE provided that the student is currently enrolled in GED at the college.
Ideally, these test hours should be reported on the roll for the GED class, but it is permissible to
report the hours for the GED exam on a separate class report.

In a situation where testing is coordinated at one central location for the GED classes, it is
difficult to apply the GED exam hours to the class the student attended while preparing for the
GED exam. In this situation, it is permissible to develop a separate contract for the GED
examiner and report GED exam hours on that contract. GED exam hours may be reported for
those students who have prepped in a college GED class, but GED exam hours may not be
reported for students who are walk-ins or for students who have prepared for the exam through
another agency. When a college chooses to report hours for the GED exam on a separate
contract as described above, there should be adequate documentation to support the
enrollment of the student in one of the college’s GED preparation classes.
GED testing hours may only be counted for budget FTE if students are tested during the
semester they are enrolled or enrolled during the semester immediately prior to GED testing.
(CC99-185)
AUDITING

Purpose of Program Audit Services
Program Audit Services’ purpose is to ensure the equitable distribution of state funds to all colleges. This is accomplished through reviewing college practices and implementation of appropriate portions of S.115-D, 23NCAC, State Board of Community College policies and guidelines, and System Office numbered memoranda. Program Audit Services’ role is to support programmatic decisions made by each program area of the System Office.

Documentation Required for Auditing
Auditors may ask for a variety of data while conducting program audits. Typically, the data requested for Basic Skills includes:

➢ Registration information – student signature required
➢ Assessment information – pre- and post-test scores/LEIS Testing and Progress sheet (CASAS, TABE, BEST, AMES, Official GED practice test, actual GED test) (ESL – BEST and CASAS), Compensatory Education –CASAS). **Note: GED practice tests may not be used for student placement or progress.**
➢ Student contact information (if used to document outcomes) Examples: post cards, email, telephone calls, job visits
➢ Student goals (1-5)
  ➢ Supporting documentation of goal completion:
    ▪ LEIS Student Outcome Form
    ▪ AHS units earned – AHS LOW can move to AHS HIGH after completion of half the units required for graduation
    ▪ GED Tests – GED LOW can move to GED HIGH after passing three GED tests.
    ▪ GED diploma
    ▪ AHS diploma
  ➢ Instructor and/or any instructional contracts
  ➢ Attendance records

Auditors may ask colleges to produce other items during an audit session. Items may include, but are not limited to, registration information, student maintenance forms, documentation of registration by semester (receipt forms), assessment information, student contact information, student goals, etc.

Course Content Auditing
When auditors observe Basic Skills instructional content and materials, they are looking for connections between course content and the purposes of Basic Skills which are as follows: to provide instruction in reading, writing, mathematics, and communication skills; to provide instruction leading towards the obtainment of a GED or Adult High School diploma; and to provide instruction consistent with the Compensatory Education Course of Study. If students are taking a field trip or watching a movie or doing any other activity that is not obviously in line with the purposes of Basic Skills, auditors may question the activity. Program staff must be able to relate all activities to the purposes of Basic Skills.
Information Concerning Program Auditing
In order to contact your assigned program auditor or to review questions asked of the auditors, refer to www.ncccs.cc.nc.us and click on “search,” then enter Program Audit Services. You will find contact information as well as detailed information needed for a program audit. In addition, you will find “frequently asked questions” of the auditors.
AWARDS

**Angela Moore Trogdon Awards**
Two awards are given at the annual Basic Skills and Even Start Family Literacy Conference. The awards are for “Student of the Year” and “Instructor/Volunteer of the Year.” The awards include a trophy and cash bonus and are given in honor of Angela Moore Trogdon, who served as Basic Skills/HRD Director at Randolph Community College, then Guilford Technical Community College. Angela lost her fight with breast cancer in November 1999.

**Student of the Year**
The student of the year will be invited to speak at the annual conference. The student will receive a trophy and a cash bonus, plus all travel expenses for conference attendance will be provided. All current/previous students are eligible.

**Instructor/Volunteer of the Year**
The second award is for the instructor or volunteer of the year. The winner for this category will also be invited to speak at the conference. The instructor/volunteer will receive a trophy and a cash bonus plus all travel for conference attendance will be provided. Conference registration fees will also be provided for the winner. All current/previous instructors/volunteers are eligible.
State Funding for Basic Skills
The state of North Carolina provides funding for all community college Basic Skills programs. The funding is distributed to local colleges based on a funding formula that includes a base amount to each program plus an additional amount for exceeding target population and for the number of GED and Adult High School diplomas awarded. Colleges also receive additional funding for Compensatory Education. This funding is generally used to pay a part of a coordinator's salary. Budgets are distributed to local programs annually after the North Carolina General Assembly ends its session. Local college Finance Departments receive the college's full budget, including the Basic Skills budget and the Compensatory Education budget, after final approval from the General Assembly. Programs not receiving budget information are encouraged to check with the Chief Financial Officer at their local college.

Federal Funding for Basic Skills
The state of North Carolina receives funding from the United States Department of Education annually. The amount is based upon the number of high school dropouts in the state and is currently around fifteen million dollars. This funding provides additional dollars for community college Basic Skills programs, direct and equitable access to funds for community-based organizations, state level Basic Skills staff funding (5% of the total allocation), and state leadership funding (12.5% of the total allocation). Federal funding from state leadership provides training opportunities, including the Appalachian State University Adult Basic Skills Project; the North Carolina Community College Literacy Resource Center; special projects such as “Comprehensive Family Literacy” and “Pathways to Employment” programs; other special projects; evaluation studies; technology enhancements; and other state-wide initiatives.

Availability of Funds
The availability of funds for Basic Skills will be announced through a variety of methods to assure ample opportunity for eligible providers to apply. Notice will be given directly to local community colleges and other identified eligible providers by memorandum from the Community College System Office. The System Office will make every effort to seek out a wide range of eligible providers and will invite any eligible providers to request applications. Notice of availability of applications will be provided through various state and private organizations across the state (e.g., North Carolina Literacy Association). Announcements will also be made to the general public on the North Carolina Community College WWW site at http://www.ncccs.cc.nc.us.

Process for Obtaining Funds
Applications will be reviewed by state staff to determine the eligibility of the proposed provider and the completeness of the applications. Applications will be evaluated based on the criteria detailed in Section 6.5 of the North Carolina Basic Skills State Plan. Community College System Office staff will recommend whether applications should be accepted, rejected, or returned to the applicant for revision and resubmission. The Associate Vice President of Basic Skills may invite representatives of other agencies to help System Office staff in the determination of funding for community-based organizations. After the applications have been reviewed by System Office Basic Skills staff, those selected for funding will be submitted to the State Board of Community Colleges for final approval.
Evaluation of Applications
All applications received by the North Carolina Community College System will be reviewed by state staff to determine eligibility of providers and completeness of applications. Staff will evaluate applications using criteria outlined in the Workforce Investment Act with points for each criterion as appears below.

General Programs Scoring Criteria

<table>
<thead>
<tr>
<th>Criteria for Evaluating Applications</th>
<th>Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>All students have measurable goals.</td>
<td>5</td>
</tr>
<tr>
<td>The program can demonstrate its past effectiveness in improving the literacy skills of adults and families.</td>
<td>8</td>
</tr>
<tr>
<td>The program is committed to serving individuals who are most in need of literacy services, including individuals who are low-income or have minimal literacy skills.</td>
<td>10</td>
</tr>
<tr>
<td>The program is of sufficient intensity and duration for participants to achieve substantial learning gains.</td>
<td>5</td>
</tr>
<tr>
<td>The program uses instructional practices that research has proven to be effective in teaching adults. The program uses educational activities that are built on a strong foundation of research and effective educational practice.</td>
<td>10</td>
</tr>
<tr>
<td>Programs use computers and other advances in technology, as appropriate.</td>
<td>7</td>
</tr>
<tr>
<td>The program is staffed by well-trained instructors, and administrators, and has access to services of counselors.</td>
<td>5</td>
</tr>
<tr>
<td>The program coordinates its activities with other available resources in the community, including postsecondary schools, elementary and secondary schools, One-Stop centers, job training programs, and social service agencies.</td>
<td>10</td>
</tr>
<tr>
<td>The program offers or provides access to flexible schedules and support services (such as child care and transportation) that are necessary to enable individuals, including individuals with disabilities or other special needs to attend and complete programs.</td>
<td>5</td>
</tr>
<tr>
<td>The program has a high-quality information management system that has the capacity to report participant outcomes and to monitor program performance.</td>
<td>5</td>
</tr>
<tr>
<td>The program has an English as a Second Language (ESL) component that address community needs for this service.</td>
<td>10</td>
</tr>
<tr>
<td>Budget and Cost Effectiveness</td>
<td>10</td>
</tr>
<tr>
<td>Total Points out of 100:</td>
<td></td>
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</tbody>
</table>

To be recommended for funding, applications must earn a score of 80 or above on the evaluation criteria. Applications receiving 0 points on two or more criteria will not be considered regardless of their total points. All acceptable applications will be recommended to receive funding on a competitive basis subject to the availability of funds.

Basic Skills Funding Formula
Basic Skills funding is awarded based on the following formula:

- Base allocation -- $20,000
25 cents per target population 16 to 54 years of age with less than a high school education based on the census.

An amount per FTE (changes annually). FTE is based on the average of the last three years or the latest year, whichever is greater.

$50 per General Educational Development (GED) certificates awarded.

$150 per Adult High School diploma awarded.

$10,000 per percent in excess of the statewide level of effort of the eligible population to be served.

**Limits on Use of Basic Skills Funds**

Basic Skills funds may be used to fund anything that deals with direct instruction including, but not limited to, salaries for program coordinators (including Basic Skills Chief GED Examiner Coordinators), instructors, assessment/retention specialists, Basic Skills counselors; printed materials for classroom use; computer software related to Basic Skills; training expenses including fees and travel reimbursement for presenters, travel expenses and pay for attending training for instructional staff (including coordinators, instructors, assessment/retention specialist, counselors whose salary is paid for out of Basic Skills funds); transportation for students; and child care and expenses for Early Childhood components of comprehensive family literacy programs **only if no other funding can be located.** The latter expenditures must be pre-approved by the Associate Vice President of Basic Skills at the System Office.

Basic Skills funding may not be used for the following:

- Administrative salaries, including directors and clerical assistants
- Equipment (Exception: A special provision by the North Carolina General Assembly in 2003 allowed local colleges to use up to 5% of the Basic Skills budget to purchase computers.)
- Food
- Flowers, gifts
- Trophies, awards

**Federal Training Allocation**

Each community college's local budget includes a supplemental federal allotment. Of that federal allotment, 10% is set aside for instructor training, an amount which is reflected in the last column on the Basic Skills budget (entitled "Instructor Training). Federal legislation for Basic Skills requires local programs to be staffed by "well-trained instructors, administrators, and counselors;" therefore, it is important for every program to send Basic Skills personnel to statewide conferences and training sessions to meet this requirement.

**Use of State Funds for Promotional Items**

Basic Skills federal funds may not be used to purchase any promotional items such as pencils, luggage tags, hats, etc. However, Basic Skills state funds may be used if the items are "program-specific." For example, you could use Basic Skills state funds to purchase a pencil that has your college's name and logo on it as long as it also has "Basic Skills" or "Race 4 Literacy" on it. Please check with your local Finance personnel for appropriate budget codes.

**Special Project Funding**

Special project funds for Basic Skills sometimes have more leeway for funding than regular program funding, especially in the area of equipment. Check with special project guidelines to
determine allowable expenses. Equipment for special projects will be approved only if the equipment is necessary to complete the project’s goals and objectives.

**Special Project Budget Transfers**
Funding for special project grants is carefully scrutinized by teams of grant readers from the field who are convened to determine which programs will be funded and for which amounts. Although budget transfers cannot always be avoided, special projects should keep such transfers to a minimum. Projects may move any amount of funding from any line item into instructional salaries without prior approval. Basic Skills staff will automatically approve such transfers upon receipt of a transfer budget request submitted by local Finance Departments. **Any budget transfer over $100 into any other line item needs prior approval from the state Basic Skills staff member who works with the project (staff contacts are on each Request for Proposal).** Local programs need to justify why there is a change from the original grant request. After the Basic Skills staff member approves the request, the local college’s Finance Department should then submit a transfer request to the Finance Department at the System Office.

Budget transfer requests without prior justification approvals will be denied.

**50% Funding Regulation**
All student membership hours generated by the college for a given class shall be counted for budget FTE purposes provided 100 percent of the instructional cost hours delivered are paid from college funds; however, a company or entity may reimburse the college for a given class up to fifty percent of the instructional cost, and the student hours in membership generated in the class may be reported for budget FTE. If the college is reimbursed for more than 50 percent of the instructional cost for a given class, student membership hours reported for the class shall be prorated in the same proportion as the college funding. (23 NCAC 2D.0326 (a)

Instructors should be paid for the hours they work whether in the classroom or at conferences/workshops/meetings (during scheduled meeting hours) and while driving to or from conferences, field trips, etc. Colleges should not count FTE for the time that instructors and students are riding in a car/bus, etc., but instructors should be paid.
BASIC SKILLS STATE LEADERSHIP ADVISORY BOARD

History and Purpose of Board
The Associate Vice President of Basic Skills established a Basic Skills Advisory Board in 1996, made up of two representatives from each of the five Basic Skills regions in the state along with four at-large representatives. The Advisory Board began making recommendations for policy changes and the State Plan. The establishment of the Board has given regional representatives the opportunity to learn more about the overall statewide program as well as how it relates to other state agencies and programs. This board has been renamed the Basic Skills State Leadership Advisory Board since its main duties will now focus on state leadership activities such as providing technical assistance and training to local Basic Skills directors and staff, local program evaluation, and local awareness of national and state trends and programs.

Selection of Board Members
Board members are selected by Basic Skills directors in each of the five Basic Skills regions. It is recommended that the chairperson of each region be one of the representatives, but that is left up to the discretion of each region. The System Office selects the additional four representatives. Usually the four representatives include: two Continuing Education Deans (one from the East and West) and representatives on the North Carolina Community College Adult Education Association (NCCCAEA) for Basic Skills.

Meetings
Four meetings of the Basic Skills State Leadership Advisory Board are held annually. The first meeting of the year is held in August or September and is a two-day retreat. Other meetings are one-day in length. Additional meetings are held as needed. Local program staff are encouraged to submit items for discussion to their Board representatives.
BASIC SKILLS STATE STAFF

State Staff Organization
The Basic Skills section at the North Carolina Community College System Office includes the following: the Associate Vice President of Basic Skills and a clerical assistant; the North Carolina Community College Literacy Resource Center which has a coordinator and a clerical assistant; the GED/Adult High School Diploma office which includes a Coordinator of GED/AHS and two GED/AHS technicians; a Coordinator of ABE and ESL; a Coordinator of Compensatory Education and Special Populations; a Training Office which includes a Training Specialist, a media specialist, and a training clerical assistant.
Names and telephone numbers of Basic Skills state staff are listed on the System Office web site under Basic Skills.

Staff Responsibilities
Associate Vice President of Academic and Student Services, Basic Skills Department
➢ Administer the federal Adult Education and Family Literacy Act (Workforce Investment Act, Title II).
➢ Develop, administer, and interpret standards, policies, rules and regulations for Basic Skills/literacy programs (such as policies affecting the following: National Reporting System, “Drivers License/Dropout Prevention” law, and “Lose Control; Lose Your License” law.)
➢ Disseminate information to colleges regarding standards, policies, rules and regulations.
➢ Make recommendations for program budgets and oversee expenditures.
➢ Provide direction, management and supervision to Basic Skills and North Carolina Community College Literacy Resource Center program staff functions, organize staff responsibilities, and prioritize workloads.
➢ Prepare five-year State Plan for Basic Skills and submit it to the U.S. Department of Education.
➢ Represent North Carolina’s Basic Skills/literacy programs at national meetings and conferences such as the State Directors Conference and the National Adult Education Professional Development Consortium.
➢ Brief the General Assembly, State Board of Community Colleges, and other entities as requested on Basic Skills/literacy programs.
➢ Coordinate family literacy programs.

Coordinator of Adult Basic Education and English as a Second Language
➢ Assist in the development of policies, guidelines, and procedures for Adult Basic Education, English as a Second Language, family literacy, and community-based organization programs.
➢ Assist in the interpretation of standards, policies, rules and regulations affecting Adult Basic Education, English as a Second Language, family literacy, and community-based organization programs.
➢ Generate Requests for Proposals for special funding as well as review and analyze applications for special funding.
➢ Coordinate and monitor new program initiatives.
➢ Oversee budgets and assist with program implementation of volunteer / community-based organizations.
➢ Assist in coordinating the Adult Basic Skills Professional Development Project at Appalachian State University.

Coordinator of Adult High School/GED Programs
➢ Coordinate the administration of the GED and AHS programs.
➢ Coordinate scoring services for GED English Tests, GED Spanish Tests and scoring services for the North Carolina Competency Tests.
➢ Provide training for Competency Test Coordinators
➢ Supervise two support staff to process GED applications, requests for GED transcripts, transfer GED scores between colleges, maintain permanent GED records, and award and mail GED diplomas.
➢ Provide training for GED staff and certify newly appointed GED chief examiners.
➢ Monitor the security and integrity of the GED Testing and NC Competency Testing programs.
➢ Make annual GED reports for GED Testing Service.
➢ Provide GED and AHS special reports to colleges.
➢ Coordinate the workplace component for Basic Skills / literacy programs.
➢ Coordinate data collection for Basic Skills/literacy program with the Literacy Education Information System (LEIS), College Information System (CIS) and Literacy Pro.

Coordinator of Compensatory Education and Special Populations
➢ Coordinate the statewide Compensatory Education program.
➢ Plan, develop and coordinate the annual Compensatory Education Coordinators Conference and regional training for Compensatory Education instructors in conjunction with the Basic Skills Training Specialist.
➢ Advise all levels of administration in the System Office and community colleges on matters relating to Compensatory Education and other special populations.
➢ Coordinate activities for special populations such as the homeless, Workfirst clients, learning disabled individuals, and institutionalized adults.
➢ Coordinate approval process for Basic Skills Captive Co-opted programs.

Coordinator, North Carolina Community College Literacy Resource Center (NCCCLRC)
➢ Coordinate and oversee program, manage operations of NCCC Literacy Resource Center.
➢ Maintain and encourage use of current materials in NCCC Literacy Resource Center's library as well as the use of NCCC Literacy Resource Center's WWW site and its connection to LINCS.
➢ Disseminate resources to programs and organizations.
➢ Respond to requests for literacy information from Basic Skills/literacy providers and others in NC and elsewhere.
➢ Encourage linkages between North Carolina community college Basic Skills programs and volunteer/community based literacy organizations.
➢ Encourage opportunities for North Carolina community college Basic Skills programs and volunteer/community based literacy organizations to participate in national
initiatives (Equipped for the Future, Literacy Information aNd Communication System (LINCS), Bridges to Practice)

- Maintain public presence for NCCC LRC, Basic Skills/literacy in North Carolina and elsewhere.
- Serve as liaison between National Institute for Literacy and NC Basic Skills/literacy organizations.
- Represent North Carolina in national forums.
- Prepare and disseminate information on literacy issues to programs, organizations, media, general public.

Training Specialist for Basic Skills

- Provide needs-based in-service training at state, regional, and local levels.
- Plan, develop and coordinate the annual Basic Skills New Directors Orientation and the Basic Skills Directors Institute.
- Provide technical assistance to colleges and community-based organizations on planning and conducting locally-based in-service training.
- Monitor and evaluate the process of training development and delivery and the effectiveness of specific training programs.
- Coordinate the CASAS Trainers’ program.
- Coordinate the Adult Basic Skills Professional Development Project at Appalachian State University.
- Coordinate the annual Basic Skills/Family Literacy Conference.

Educational Media Specialist

- Assist in planning, designing, and creating staff development curriculum materials.
- Assist in maintaining the content of the Basic Skills section of the NCCCS web site.
- Work in partnership with the Basic Skills Training Specialist and staff in preparing for and conducting staff development projects in technology use (software reviews, demonstrations, workshops) that involve outreach to basic skills/literacy programs statewide. Investigate current local program technology capabilities and set realistic technology goals.
- Cooperate with Basic Skills staff to prepare materials for staff development.
- Develop and maintain on-line professional development resource list for Basic Skills field.
- Assist in the development, production, and consistent dissemination of publications and resources highlighting best practices and key issues in adult literacy.

All State Staff:

- Provide technical assistance to Basic Skills/literacy programs.
- Provide technical assistance to volunteer/community-based organizations (including program management, assessment, data management, tutor training).
- Monitor and evaluate Basic Skills/literacy programs.
- Represent the North Carolina Community College System Office and Basic Skills/literacy programs on boards, committees, task forces, and conferences.
- Collaborate with Training Specialist in developing and delivering professional development opportunities to Basic Skills/literacy personnel.
- Design and conduct projects to develop local program capacity, (technology applications, distance education).
➢ Maintain a public presence for Basic Skills/literacy programs in North Carolina and nationally.
➢ Represent Basic Skills/literacy in conference presentations, meetings, workshops, etc.
CAPTIVE CO-OPTED PROGRAMS

Definition of Captive Co-opted Programs
Captive co-opted programs are programs taught in the following locations: prisons, jails, day reporting programs, sheltered workshops, nursing homes, etc. Captive co-opted programs are those programs where students are “captive” audiences and cannot attend other programs. Captive co-opted groups are as follows:

➢ Inmates in a correction facility
   - Department of Correction facility
   - Private facility

   - Federal facility
   - Local jail

➢ Clients of mental retardation centers – such as ADAP (Adult Developmental Activities Program or any of the seven Mental Retardation Centers in North Carolina)

➢ Clients of domiciliary care facilities

➢ Clients of substance abuse rehabilitation centers

➢ Clients of sheltered workshops

➢ Clients of nursing facilities

➢ In-patients of psychiatric hospitals

Compensatory Education classes held at ADAP’s and sheltered workshops are considered captive co-opted settings and require State Board approval; however, Compensatory Education classes held on college campuses including off-campus sites (such as churches, community centers, and public schools, etc.) are not considered immured and do not require approval.

Effective April 1, 2003, an amendment to 23 NCAC 02E.0403 deleted the requirement to obtain approval for courses at military bases except in brigs. This means that military groups are no longer considered captive (except brigs).

Prior Approval for Captive Co-opted Programs
Starting July 1, 2003, the State Board of Community Colleges requires prior approval for teaching all Basic Skills classes taught in a captive co-opted setting. Special approval forms are available from the System Office. (NCAC 2E.0403, CC02-232 and CC02-243)
Compensatory Education Program

Compensatory Education (CED) is a program to compensate adults with mental retardation who have not had an education or who have received an inadequate one. The program requires specialized diagnosis and consists of specially designed curriculum. Compensatory Education, a program designed for adults with mental retardation or traumatic brain injury, serves approximately 6,000 students annually. There must be appropriate documentation providing evidence of formal diagnosis of the mentally handicapping condition. This condition must be determined and certified by a qualified professional, such as a physician, psychiatrist, psychologist, etc.

The program goals of the Compensatory Education program are to help these individuals acquire the basic skills and abilities needed to (1) become more independent and self directed and (2) meet and manage community, social, work, and personal adult responsibilities. Classes are normally held at one or more of the following sites: community college campuses, adult day vocational programs or community rehabilitation facilities (formerly called sheltered workshops), and community-based facilities. Each college receives a special allocation from the state to hire a Compensatory Education Coordinator to oversee the program. Other funding for the program comes from state and federal Basic Skills funds.

Compensatory Education classes may be held up to six hours per day. The physical appearance of these classes should be age-appropriate.

Compensatory Education Program Funding

Compensatory Education is funded through two different sources: Basic Skills funding and a special allotment for Compensatory Education. The state funds allotted for Compensatory Education are for the salaries of Compensatory Education Coordinators.

Compensatory Education Course of Study

The Compensatory Education Course of Study should be used as a guide for instruction in all Compensatory Education classes. Only those activities which can be incorporated into the subject areas in the course of study may be included in classes funded by community colleges. Community college funds should not be used to provide supervision of meal periods, nor should community college funds be used to fund classes which consist primarily of exercise or recreational activities. However, it would not be inappropriate for an instructor to discuss the value of and demonstrate exercises or discuss the value and types of recreational activities.

Compensatory Education Program Eligibility

Mentally handicapped individuals, aged 17 and older, who (a) have been diagnosed as mentally retarded; or (b) are pronounced as functioning on a level equivalent of mental retardation resulting from head injury or brain damage.
Proof of Eligibility
There must be appropriate documentation providing evidence of formal diagnosis of the mentally handicapping condition. This condition must be determined and certified by a qualified professional, such as a physician, psychiatrist, psychologist, etc. While certification does not have to be filed at the community college, the college must have a record of where the documentation is filed and ascertain that it is available for verification purposes. Similarly, there must be appropriate documentation certifying the functioning level of individuals with brain damage/head injury.

Captive Co-opted Approval for Compensatory Education
Compensatory Education classes that are in captive co-opted settings require prior approval from the State Board of Community Colleges. The following Compensatory Education classes require prior approval: Compensatory Education classes offered at any of the seven Mental Retardation Centers in North Carolina, Compensatory Education classes offered at ADAP sites, and Compensatory Education classes offered at any Sheltered Workshop.

Community Classroom Approach
The community classroom approach incorporates a laboratory component with instruction. For example, if the Compensatory Education class in “Community Living” is studying how to utilize local transportation, one of the students and the instructor may go to the local transit office and purchase a bus pass. The instructor and student may ride the bus to several points in the city for further experience, all of which supplements classroom instruction and serves to facilitate application and transfer of skills. The laboratory approach should be applied for those students who have completed at least one quarter of CED instruction. The community classroom approach could be provided to a variety of CED eligible individuals including: (1) a currently enrolled CED student who may need additional practical applications in order to learn a concept like the transit office example described above, (2) a currently enrolled CED student who may also be involved in supported employment a few hours each day/week, (3) a student who is not currently enrolled in CED, but is involved in supported employment.

In applying the above example, the college reports actual student/instructor contact hours. The college may report up to 10 laboratory hours per week per enrolled CED student for the laboratory sessions. The combination of CED classroom and laboratory instruction still could not exceed 30 hours per week. It should also be noted that the laboratory sessions are practical applications of basic skills concepts comprising the CED Program of Study. The laboratory sessions are not occupational practicums nor on-the-job coaching.

ICF-MR
According to an agreement with the Division of Medical Assistance regarding Compensatory Education instruction, local colleges must find out if ICF-MR students have in their active treatment plan Compensatory Education. If the approved active treatment program includes Compensatory Education and the facility receives compensation from the Division of Medical Assistance for these services, the facility is responsible for identifying the students for the college and paying the community college for these instructional services at a mutually agreed upon rate.
The community college can draw down FTE’s if the facility paid for the instruction when the college and the facility mutually agree upon the rate. **In negotiating the rate, the college must take into consideration how FTE’s are generated through the Basic Skills program consistent with 23NCAC 2D.0326(a) (Administrative Code).** This means that in the “mutually agreed rate” the community college must pay at least 50% of the instructional cost and the facility pays the balance; however 100% of the instructional costs must be run through the college books. (CC-93-149.)

**Sign-in Sheets for Compensatory Education Students**
Having Compensatory Education students sign class rosters may be very time-consuming. An acceptable practice would be to have the students’ names written on a sign-in sheet, and the students would just initial their names when they come into or leave a classroom. It is never acceptable for instructors to sign for Compensatory Education students when they enter/leave classes.

**Local Compensatory Education Advisory Board**
Every college should have its own Compensatory Education Advisory Board which is separate from the Basic Skills Advisory Board. The Compensatory Education Advisory Board should be made up of representatives from disability-related agencies.

**State Compensatory Education Advisory Board**
The State Compensatory Education Advisory Board is made up of representatives from disability-related agencies, students with disabilities, community college representatives and interested citizens (at large citizens). The North Carolina Community College System president appoints members to this council.
CONTACT HOUR REPORTING

Regularly Scheduled Classes
Students in all Basic Skills classes will be reported by the number of hours of actual attendance (contact hour reporting). “Each class hour of instruction shall be scheduled for 60 minutes. The college shall provide for a minimum of 50 minutes of instruction for each scheduled 60-minute hour. A student must attend a minimum of 50 minutes of a scheduled 60 minutes of instruction in order to be counted in attendance for one hour. Student time in class other than whole hours may be rounded to the nearest one-fourth (1/4) hour. For example, if a student attended the 6:00 – 9:00 p.m. class from 6:00 to 7:40 p.m. the student could be reported for one hour and 45 minutes of attendance (rounding to the nearest one-fourth of an hour). If the same student attended from 6:00 to 7:35 p.m., the student would be reported for one and one-half hours of instruction.” (CC-93-259 and CC02-128)

Breaks
“Breaks of 10 minutes could be utilized each hour or during the period of instruction, but could not be accumulated. For example, a class scheduled from 6:00 p.m. until 9:00 p.m. could not “save” breaks to the end of the period, adjourn one-half hour early, and report three hours per student in attendance.” (CC-93-259 and CC02-128) The intent of this section is to emphasize that breaks cannot be accumulated and class adjourn early. Breaks also should not be accumulated and class start time moved to a later time, but it is allowable to accumulate breaks and take them during the middle of a class. The following guidelines should help clarify the issue:

• Breaks cannot be accumulated to adjourn a class early.
• Breaks can be saved and taken in the middle of class (for any reason – lunch, dinner, etc.) if it is in the best interest of the students.
• Breaks cannot exceed the allowable 10 minutes per hour of scheduled instruction.
• Students may leave 10 minutes early if the break for the last hour of class has not been taken, however, the instructor is expected to stay until the scheduled ending time of the class. (CC02-128)

Non-Regularly Scheduled Classes
All non-regularly scheduled literacy classes will have student hours calculated, reported, and documented consistent with NCAC 2D.0324(b). (CC-89-2
Corrections Education

The North Carolina Community College System is the primary provider of educational services to adult inmates housed in the North Carolina Department of Correction correctional facilities. This includes providing Basic Skills programs.

Basic Skills programs are designed to meet the varied competency levels of adults. The specific programs offered are Adult Basic Education (ABE), General Educational Development (GED), Adult High School (AHS), Compensatory Education (CED) and English as a Second Language (ESL). Programs offered are based upon the needs of the inmate population at each of the prison units. Identified needs may range from learning how to read to obtaining a high school credential.

The North Carolina Community College System has been providing educational services to inmates for many years. Several legislative mandates since 1987 have expanded community college programs in correctional institutions in cooperation with the North Carolina Department of Correction.

In 1992 a Cooperative Agreement between the Community College System and the Department of Correction was adopted by the State Board of Community Colleges, providing an educational service plan for inmates. This agreement covers Basic Skills programs which are offered by the local community colleges at correctional facilities across the state. In this agreement criteria for Basic Skills programs were established as follows:

- Each program offering must have a variety of instructional materials which are appropriate for adults.
- The program design must accommodate varied learning styles.
- The program will make available appropriate educational equipment.
- The program must use pre-assessment and performance methods/techniques designed to assist and encourage the student's progress.
- The program must maintain appropriate records on each inmate. The files must contain appropriate individual data, testing data and educational counseling information.
- Facilities must be adequate and appropriate to house the intended program and accomplish the stated goals and course objectives.
- The design and use of facilities should enhance the opportunities of learners and instructional staff to communicate effectively.
• Adequate supplies and materials must be available for student use in accomplishing stated course objectives.

• Faculty for each course must meet the qualifications of the Southern Association of Colleges and Schools.

• Responsibility for the administration of programs offered in the correctional setting must be clearly defined by the college and a contact person must be designated for the prison.

• Plans should be established to evaluate programs in a systematic manner.

In 1993 the North Carolina Legislature directed the State Board of Community Colleges to develop a plan for the delivery of appropriate education in the state's correctional facilities. In 1994, A Plan for Appropriate Community College Education in North Carolina's Correctional Facilities was adopted by the State Board of Community Colleges. The plan addressed the specific legislative concerns of high inmate-mobility and low student-completion rates. The plan was a matrix classification system designed based on an inmate's length of stay for the type of program that the inmate would be provided. A report that updated this plan was presented to and approved by the State Board of Community Colleges in September 1998. However, the Basic Skills Programs were and continue to be an open-entry and open-exit approach, regardless of the length of inmate's length of stay to accommodate inmates. All new programs offered at correctional facilities must be approved by the State Board of Community Colleges. G.S.115D-5(c1)
DEVELOPMENTAL STUDIES STUDENTS

Referral to Basic Skills
Most high school graduates who enter a community college intending to pursue a curriculum degree, diploma or certificate should be enrolled in curriculum courses or prerequisite developmental courses. Occasionally, there will be high school graduates whose scores on the placement test are extremely low in one or more areas. Curriculum counselors/developmental education personnel should then administer a second test to these students, using an instrument that reports scores by grade level or has an equivalency scale score. (Basic skills personnel can provide guidance in choosing an appropriate test for this purpose.) Only those students whose scores indicate a level below 9th grade (or its equivalent scale score) may be referred to Basic Skills.

Enrollment in Basic Skills and Developmental/curriculum course at the same time is appropriate in a very limited number of cases. Students enrolled in Basic Skills reading/language courses should not be enrolled in any developmental/curriculum courses. However, students whose reading and language skills are consistent with developmental/curriculum courses may be simultaneously enrolled in Basic Skills math and developmental/curriculum courses that have no math content.

High school graduates who are not enrolled in curriculum programs may enroll in Basic Skills courses. High school graduates functioning below 9th grade level (or its equivalent scale score) on a standardized assessment may be enrolled in Adult Basic Education (ABE), English as a Second Language (ESL), or Compensatory Education (CED). High school graduates who are functioning on 9th – 12.9 grade level (or the equivalent scale scores) may enroll in Basic Skills as HSG (high school graduate). Since high school graduates functioning on high school level are not the main target audience for Basic Skills, enrolling them should be on a very limited basis. This category was developed to serve adults, especially in workplace classes, who wanted to improve a specific skill, but did not plan to enter a curriculum program. Students who are functioning above the high school level need to look for other options for remediation.

All students entering Basic Skills classes must be assessed by a standardized test. Students can no longer “self-refer” into the program. Curriculum counselors/developmental education personnel must administer a test which reports scores by grade level or has an equivalency chart. The following table shows the most frequently used tests and the maximum scores for Basic Skills referral. (CC00-227)
### Basic Skills Tests and Maximum Scores for Basic Skills Referral

<table>
<thead>
<tr>
<th>Test</th>
<th>Scale Score</th>
<th>Grade Level</th>
<th>Reading</th>
<th>Language</th>
<th>Math</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>TABE (5-6)</strong></td>
<td>(grade 8.9)</td>
<td></td>
<td>761</td>
<td>730</td>
<td>776</td>
</tr>
<tr>
<td><strong>TABE (7-8)</strong></td>
<td>(grade 8.9)</td>
<td></td>
<td>566</td>
<td>559</td>
<td>565</td>
</tr>
<tr>
<td><strong>CASAS</strong></td>
<td></td>
<td>235</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>AMES C</strong></td>
<td>(grade 8.9)</td>
<td></td>
<td>612</td>
<td>611</td>
<td>627</td>
</tr>
<tr>
<td><strong>AMES D</strong></td>
<td>(grade 8.9)</td>
<td></td>
<td>543</td>
<td>523</td>
<td>532</td>
</tr>
<tr>
<td><strong>ABLE</strong></td>
<td>(grade 8.9)</td>
<td></td>
<td>680</td>
<td></td>
<td>693</td>
</tr>
</tbody>
</table>

### Teaching Basic Skills and Developmental Courses Simultaneously

Offering Basic Skills and Developmental Studies in the same location, but prorating instructors' salaries and differentiating the classes (registration, attendance, rosters, etc.) is consistent educationally and fiscally with State Board policy.
DISTANCE EDUCATION

Application for Distance Education Classes
The Basic Skills State Leadership Advisory Board recommended that all colleges offering distance education classes apply for approval (prior to the beginning of the class) to the System Office Associate Vice President for Basic Skills. An application form is located on the NCCCS web site, under the Basic Skills section. Applications should be submitted each time a class is offered.

Handbooks for Distance Education
Two handbooks are available for distance education. Both may be located on the community college system web site at ncccs.cc.nc.us/Basic_Skills. The handbooks are:

A Handbook for Distance Education, Project IDEAL, University of Michigan and
Guidelines for Approval for Distance Education Courses, NCCCS.

Computer-Assisted Instruction
Pilot projects were conducted using computer-assisted instruction for employees who used a computer lab when the instructor was not present. Since nontraditional instruction is allowable under 23 NCAC 2D.0324, colleges may offer nontraditional instruction. The colleges in the pilot reported the hours students and instructors worked directly together as well as the hours students worked on the computer without the instructor being directly available. The hours the students worked through individualized instructional materials on the computer were logged by the computer records, and the instructional time as well as the educational materials the student generated were reviewed daily by the instructor. The following are guidelines to be followed for student contact hour reporting purposes through computer-assisted instruction:

- “Retain applicable student registration records;
- Maintain an official count of actual student attendance hours;
- Keep on file a student portfolio which documents student work and instructor/student interaction;
- Materials generated through computer assisted instruction must be reviewed by the instructor of record in a timely manner.” (CC-97-301)

Policy for GED TV Series
Programs may report three hours per lesson completed per student (includes viewing the TV tapes and completing the workbook assignments) and the actual hours to complete the GED practice tests with a maximum of ten hours for the practice tests. Additional hours for instruction, assessment and GED Testing hours may also be reported for FTE.
DRIVERS LICENSE LAWS

Drivers License / Dropout Prevention Law
The “Drivers License/Dropout Prevention” law of 1998 requires that all students who drop out of public schools who are not making progress, even though they subsequently enroll in a community college Basic Skills program, must lose their drivers license or permit until one of the following conditions are met: completion of GED or Adult High School diploma or attending a Basic Skills class (not HRD, Continuing Education, or curriculum class) for six months for sixty hours per month and making progress (progress based on individual program - check web site for details). Students who lose their license under this law may apply for a hardship to the local community colleges, but it is extremely rare that hardships are granted (and only under extenuating circumstances which are documented in writing).

Notifying Minors Regarding Law
It is extremely important to give all minor students information regarding this law upon entry into the program. It is also important that students receive prior notification before being decertified. (CC99-039)

Drivers’ Eligibility Certificates
When students complete the GED or Adult High School diploma or have attended a Basic Skills class for six months for sixty hours per month and are making progress, local programs need to issue the students a Drivers Eligibility Certificate (DEC). The DEC will allow students to get into the DMV system where they left off – with a permit, a license, or an application for a permit. Do not tell students that when they get a DEC, they will get their “license.” That may not be true because they may only be eligible for a permit. Once a student turns 18, this law no longer applies, even if the student has not earned a high school diploma or its equivalent. Most DMV offices require a Drivers Eligibility Certificate (DEC), not a GED or Adult High School diploma, in order for students to get their license/permit. Before issuing a DEC when a student completes the GED or Adult High School diploma, make certain that the student has not had driving privileges suspended due to the “Lose Control; Lose Your License” law. (See “Drivers License; Dropout Prevention Guidelines” on the community college system web site: www.ncccs.cc.nc.us/Basic_Skills)

Issuing Certificates to Minors Not Enrolled in Basic Skills
Community college staff may only issue drivers’ eligibility certificates to students who are enrolled in Basic Skills programs - they may not issue these certificates for students enrolled in curriculum programs or any Continuing Education programs except for Basic Skills.

If someone under the age of 18 does not have a high school diploma from a regionally accredited high school, he/she must enroll in a North Carolina public, private, approved home school or community college (Basic Skills program leading toward a GED or Adult High School diploma) and demonstrate adequate academic progress toward obtaining a high school diploma or its equivalent. If a student has passed the necessary placement tests at a community college and enrolled in a curriculum program, he/she can get a
driving eligibility certificate by enrolling in a North Carolina public, private, approved home school or community college (Basic Skills program leading toward a GED or Adult High School diploma) and demonstrate adequate academic progress toward obtaining a high school diploma or its equivalent.

**Lose Control; Lose Your License Law**
The “Lose Control; Lose Your License” law of 2000 denies or takes away permits or licenses for minors if they are suspended or expelled for three or more days due to one of the following offenses: possession of drugs or weapons on a school campus or at a school-related activity or assault on school personnel. Students who lose licenses or permits under this law must lose their license for an entire year. This means that if a minor is 17 ½ and loses his/her license/permit under this law, he/she cannot get the license back until age 18 ½. If a student who has lost his/her license/permit due to a drug offense enters a drug rehabilitation program approved by the local community college, the community college may decide to restore driving privileges after a six-month period. (See “Lose Control; Lose Your License Guidelines” on the community college system web site: [www.ncccs.cc.nc.us/Basic_Skills](http://www.ncccs.cc.nc.us/Basic_Skills))

**SADLS System**
All information regarding both driver's license laws must be entered into the SADLS system. Each college should have one person who can enter this system. Access to this system may be obtained by contacting the North Carolina Community College System Office.

**Emancipated Minors**
Emancipated minors are not exempt from the driver's license laws; however, they may sign their own Drivers Eligibility Certificate. Attach a copy of the document which shows the minor is emancipated (marriage certificate or court order). Also, refer to the following section for information regarding emancipation.
EMANCI PATED MI NORS

Definition
An emancipated minor is a person below 18 years of age who has married or has a court order stating that the person is emancipated.

Proof of Emancipation
Programs must have proof of emancipation before granting minors the status of emancipation. No agency or individual may grant a transfer in the “judicial decree of emancipation;” therefore, each agency must see proof of emancipation in the form of a court order or marriage license.

Drivers License Laws and Emancipated Minors
Emancipated minors are not exempt from the driver’s license laws; however, they may sign their own Driver’s Eligibility Certificate. Attach a copy of the document which shows the minor is emancipated (marriage certificate or court order) with the DEC.
ENGLISH AS A SECOND LANGUAGE (ESL) / ENGLISH LITERACY

English as a Second Language Programs
English as a Second Language (ESL) is a program of instruction designed to help adults who are limited English proficient achieve competence in the English language. The Workforce Investment Act of 1998 also refers to English as a Second Language programs as English Literacy programs. The following are the six levels of English as a Second Language/English Literacy:
- Beginning ESL Literacy
- Beginning ESL
- Low Intermediate ESL
- High Intermediate ESL
- Low Advanced ESL
- High Advanced ESL

English as a Second Language Curriculum
There is no set English as a Second Language curriculum, but Crossroads Café and On Common Ground are two video series that have been given to each community college for ESL classes.

English Literacy/Civics Education Grants
The United States Department of Education gives states additional allotments for English Literacy/Civics Education grants. Local programs are encouraged to apply for these grants.

Assessment of English as a Second Language Students
All English as a Second Language students should be pre-tested with a standardized assessment upon entering the program and placed into one of the six levels based on the lowest assessment score.

ABE Classes in Spanish
A major purpose of the Basic Skills program is to help students learn the English language; therefore, a class that helps Hispanics who are illiterate in their own language learn Spanish first is not allowable through Basic Skills.
ENROLLMENT INFORMATION (GENERAL)

Admission Requirements for Legal Aliens and Former Residents of Other States
Legal aliens who (1) are less than 18 years old, (2) have neither completed high school or its equivalent nor attended elementary or secondary school in North Carolina, (3) do not have a parent or legal guardian in this state, and (4) who have not been emancipated pursuant to the law of this state do not have to have prior approval of the local educational agency or parent or legal guardian as a precondition for admission to Basic Skills.

Former residents of other states who (1) are less than 18 years old, (2) have neither completed high school or its equivalent nor attended elementary or secondary school in North Carolina, (3) do not have a parent or legal guardian in this state, and (4) who have not been emancipated pursuant to the law of this state must obtain releases from the local educational agency prior to admission. (CC-88-287)

Students From Other States
Students who move to North Carolina from other states do not have to wait for six months before enrolling in the Basic Skills program.

Basic Skills Classes Lasting Longer than 17 Weeks
Since no registration fees are collected for Basic Skills classes (ABE, GED, AHS, ESL and CED), Basic Skills classes may be reported for more than 17 weeks, but only one class registration would be necessary. (Letter from Barry Russell to presidents on August 27, 1997)

Dual/Concurrent Enrollment
Section 23 NCAC 2C.0305 provides for dual/concurrent enrollment of high school students that are 16 years old or older in appropriate college courses. (CC-93-457) Basic Skills and Developmental courses are not appropriate courses for dual/concurrent enrollment and, therefore, high school students should not be enrolled in such courses under the dual/concurrent enrollment policy.

Enrollment Based on Lowest Test Score
If a student takes more than one standardized test for Basic Skills, he/she should be placed based on the lowest test score. For example if a student scores 10.5 on a TABE reading test, but 7.5 on math, the student should be placed in High Intermediate ABE.

Enrollment of High School Graduates
Students who have a high school diploma, GED, or Adult High School diploma may enroll in Adult Basic Education classes if they score below 9.0 (or its equivalent) on a standardized test, even if they are enrolled in curriculum programs and require Developmental Studies courses.

Students with high school diplomas or their equivalent enrolled in curriculum programs, especially if they are required to take Developmental Studies, who score 9.0 or higher
on a standardized test or up to 235 on CASAS, should be admitted to Basic Skills on an extremely limited basis. These students should be served in Developmental Studies courses. Enrollment of non-curriculum students with high school diplomas or their equivalent who score 9.0 or above on a standardized test score or 225 to 245 on CASAS is permissible in adult secondary classes, but should be on a very limited basis. (CC-90-338 & CC-98-052, and CC00-227) **It is not acceptable and will be considered to be an audit exception to have the majority (over 50%) of students in an adult secondary class with high school diplomas.**

**Huskins**
High school students may apply for and receive permission to enroll in some community college courses under the Huskins bill. Basic Skills courses are not acceptable for the Huskins bill. Basic Skills programs may not serve currently enrolled high school students in its programs.

**Multi-Entry/ Multi-Exit Classes in Prisons**
No community college shall operate a multi-entry/multi-exit class or program in a prison facility, except for a literacy class or program. (G.S.115D-5 and CC95-201)
FAMILY LITERACY

Comprehensive Family Literacy Programs
Comprehensive family literacy programs are programs that include the following components: adult education instruction, early childhood instruction, parent and child interaction time, and parenting.

Comprehensive Family Literacy Grants
Programs that offer comprehensive family literacy programs may apply for additional funding on a competitive basis. To be eligible to receive funding for family literacy, a local program must complete the family literacy section of the Basic Skills Program Plan and Application. Community College System Office staff will evaluate the proposals using the criteria outlined in the Workforce Investment Act with points for criteria outlined below:

<table>
<thead>
<tr>
<th>Criteria for Scoring</th>
<th>Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>The program is of sufficient intensity in terms of hours, and of sufficient duration, to make sustainable changes in a family</td>
<td>10</td>
</tr>
<tr>
<td>Interactive literacy activities between parents and children are offered.</td>
<td>20</td>
</tr>
<tr>
<td>Training is provided for parents regarding how to be the primary teacher of their children and full partners in the education of their children.</td>
<td>25</td>
</tr>
<tr>
<td>Programs offer parent literacy training those leads to economic self-sufficiency.</td>
<td>25</td>
</tr>
<tr>
<td>An age-appropriate education is available to prepare children for success in school and life experiences.</td>
<td>20</td>
</tr>
<tr>
<td><strong>Total Points out of 100:</strong></td>
<td></td>
</tr>
</tbody>
</table>

To be recommended for family literacy funding, applications must earn a score of 80 or above on the evaluation criteria. All acceptable applications will be recommended to receive funding on a competitive basis subject to the availability of funds. Five representatives from local programs will meet with System Office staff to review applications and determine which programs will be funded and the amount of funds which will be awarded per program. Comprehensive family literacy programs will be awarded on a competitive basis every two years.

Cooperative Agreement for Family Literacy
Community colleges and local public schools may want to enter into a cooperative agreement to provide family literacy in their community. A sample of a cooperative agreement for family literacy is available on the System Office Basic Skills web site.

FTE for Family Literacy Components
There are four identifiable components of family literacy programs. These are early childhood education, adult education, parent education, and parent and child together/interaction time (PACT or PACI). Three of these components: adult education, parent education, and PACT/PACI time are for the purpose of enhancing the basic skills of the parents. Since these components are an integral part of the family literacy program, it is allowable to count the hours that the parents spend in these components.
for FTE purposes for the Basic Skills programs if the adult educator participates in the components. (CC-93-116) Also, if the time spent during a home visit is documented to be linked to the above components and the adult education instructor is present and participating, that time may also be counted for FTE purposes for the Basic Skills programs.

**Participation in NCCCS Funded Comprehensive Family Literacy Programs**

If an adult is eligible for activities under the Adult Education and Family Literacy Act and is a parent or caregiver for a child or children living in the household, then that adult and child or children may participate in a North Carolina Community College comprehensive family literacy program. Such participation is conditional upon the following: participation of the adult would enhance the learning of both the adult and child and the adult must participate in adult education, Parent and Child Together Time, parenting, and home visits.

**Madison Heights/ Lifelines**

Madison Heights/Lifelines is a video/workbook series designed for family literacy programs.

**MOTHERREAD**

MOTHERREAD is a private, non-profit organization which helps parents learn to read by reading books to their children. This unique program offers training through the System Office to family literacy programs throughout the state.

**North Carolina Family Literacy Consortium**

The North Carolina Community College System received a two-year grant to establish a North Carolina Family Literacy Consortium. Although there is no longer a grant for the Consortium, committees still meet to work on family literacy issues.

**North Carolina Center for Family Literacy**

The National Center for Family Literacy has a branch in North Carolina - the North Carolina Center for Family Literacy.
FAMILY EDUCATIONAL RIGHTS AND PRIVACY ACT (FERPA)

FERPA and Basic Skills
The Family Educational Rights and Privacy Act (FERPA) protects the rights of students and grants students the rights of access to their student records when they turn 18 or begin attending an institution of higher learning. Students who attend Basic Skills are attending institutions of higher learning even though they are not enrolled in higher learning programs.

Guidelines for following FERPA Regulations
A student's right to educational records does not mean that parents have no rights to those records. If a parent claims a student as a dependent for tax purposes, then the program may also share student records with the parent. (Programs have the right to ask parents to show a copy of their tax returns proving the student is a dependent.)

It is recommended that programs still get the consent of minor students before sharing information. Programs could have students sign a written release or bring their parents with them to a conference.

Programs may choose to get a blanket authorization from a student which allows them to disclose records/information to their parents. It is a good idea to have a statement which says students have to notify the college in writing if they decide to withdraw the authorization.
FTE’S (FULL TIME EQUIVALENT STUDENTS) FOR BASIC SKILLS

Contact Hour Reporting
Basic Skills local programs receive most of their funding based on the number of FTE’s generated. Basic Skills FTE’s are generated by reporting student contact hours. See NCAC2D.0324(b)(3), (4), and (5).

FTE for Family Literacy Components
There are four identifiable components of family literacy programs. These are early childhood education, adult education, parent education, and parent and child together/interaction time (PACT or PACI). Three of these components: adult education, parent education, and PACT/PACI time are for the purpose of enhancing the basic skills of the parents. Since these components are an integral part of the family literacy program, it is allowable to count the hours that the parents spend in these components for FTE purposes for the Basic Skills programs if the adult educator participates in the components. (CC-93-116) Also, if the time spent during a home visit is documented to be linked to the above components and the adult education instructor is present and participating, that time may also be counted for FTE purposes for the Basic Skills programs.
GENERAL EDUCATIONAL DEVELOPMENT (GED)

GED Programs
General Educational Development (GED) is a program of instruction designed to prepare adult students to take the GED tests that lead to a high school diploma equivalency.

GED Guidelines for Test Administration
Students who score at the GED Low or High placement level on all components of the placement test should be allowed to be administered the Official GED Practice Tests.

Students who score at the GED Low or High placement level on some, but not all components of the placement test, should be allowed to be administered the GED practice tests in the areas related to that component. For example, if a student scores at the GED Low or High placement level on the reading component, he/she should be allowed to be administered the Science, Social Studies, and Language Arts Reading components of the Official GED Practice tests. Upon successful completion of the practice tests, students should be allowed to take the GED Tests. Instruction related to the areas of deficiency is recommended for students whose placement test scores indicate areas needing improvement. Students who do not achieve satisfactory scores on the practice tests should be referred back to Basic Skills classes to work on the areas needing improvement.

Students who score below the GED Low or High placement level on all components of the placement test should be referred to Adult Basic Education (ABE) classes. After instruction, students should be post-tested to determine when they have advanced to the GED Low or High placement level. After reaching the Low GED placement, students should be allowed to be administered the GED practice tests. Students who do not achieve satisfactory scores on the practice tests, should be referred back to classes. Students should not be required to attend a set number of instructional hours of GED instruction. Instead, students should be diagnosed and instruction prescribed according to their individual needs. (CC03-030)

GED Re-testing Policy
“Re-testing shall be permitted on the entire battery or on certain test(s) in the battery based on the number of standard forms available each contract year. In all cases of re-testing, the GED Chief Examiner or Examiner shall administer a different form of the test(s).” (GED Examiner's Manual, Section 12)

GED Graduates Re-testing Policy
“GED graduates who have earned a high school equivalency diploma or earned scores sufficient to qualify for a high school equivalency credential, but who need to earn higher GED test scores to meet an admission requirement for postsecondary education or training or to meet employment requirements are eligible to retake the GED Tests. GED graduates who have earned a credential based on passing the Spanish-language edition of the tests and need to pass the English-language version to qualify for employment or postsecondary admission are also eligible to retake the GED Tests.” (GED Examiner's Manual, Section 12)
Adults with Disabilities
“In an effort to make GED Tests accessible to all applicants, accommodations are made for candidates with diagnosed physical, mental, sensory, or learning disabilities who can provide appropriate documentation from a qualified professional of their impairment and its effect on their ability to take the GED Tests under standard conditions.” (GED Examiner’s Manual)

GED Eligibility Limitations
Residence Limitation - Persons who take GED Tests must reside in that jurisdiction (must be resident of state)
Educational Limitation - Persons who hold a traditional high school diploma are not allowed to take the GED Tests.
Enrollment Limitation - “The GED Tests shall not be administered to candidates who are enrolled in an accredited high school, including those accredited by regional accrediting bodies and also those approved by the jurisdiction’s department of ministry of education.”
Age Limitation - “The GED Tests shall not be administered to persons under 16 years of age.” (GED Examiner’s Manual, Section 12)

GED Classes in Spanish
A major purpose of the Basic Skills program is to help students learn the English language; therefore, teaching GED classes in Spanish is not allowable for Basic Skills FTE. Students who are studying for the GED in Spanish who do not have English literacy skills must also be taught those skills while studying for the GED in Spanish. (CC01-229)

GED Proof of Identification
For GED purposes, it is necessary to get some kind of identification, such as a driver's license, verification by a third party if they do not have a United States driver's license or some kind of an alien card issued by the United States Justice Department, United States Department of State, or a foreign driver's license. Ask for such documents -- if they do not have such proof, do not test.

A social security number is not good for identification.

For identification of aliens, cards issued by the Department of Justice that can be used are: Employment Authorization, Temporary Resident, Permanent Resident, Resident Alien and Border Crosser cards that have photos of the card holder. Also United States Department of State Driver's License cards or International Driver's License that have photo of the card holder are good to use. These cards are encased in some type of plastic with the holders signature, Date Of Birth, some form number of the card, INS number or alien number. Also, a passport would be good.

Post a sign at the examination area as to what identification documents will required and include the above in your literature that examinees will be required to furnish before the tests can be given.
GED Test Security (Additional Measures)
Refer to the GED Examiner's Manual, published by the GED Testing Service, Section 10 for loss or compromise of restricted testing materials, 10.1 for definition of test compromise, and 10.2 for procedures for investigating test loss or compromise.

The following is a checklist for the GED Chief Examiner or GED Examiner regarding loss or compromise of secure GED testing materials:

- Immediately stop all GED testing at your Official GED Testing Center.
- Immediately report the loss or compromise to the Testing Center's Chief Administrative Officer, the GED Administrator, and the GED Testing Center.
- Notify other Official GED Testing Centers, as directed by the GED Administrator, to discontinue use of the compromised forms until further notice.
- Cooperate with the GED Administrator to address the testing needs of your constituents while your Official GED Testing Center remains closed.
- Launch a thorough investigation within minutes to determine the location of any missing material(s) and the reasons the loss may have occurred.
- Notify the local police.
- Return the remaining stock of compromised testing materials to the GED Testing Service in Washington, D.C.
- Submit a written report, based on your investigation, to the Chief Administrative Officer, the GED Administrator, and the GED Testing Service.
- Meet in person, at your Official GED Testing Center, with the GED Administrator or a designated representative to review procedures.
- Receive notification that the GED Administrator has recommended in writing to the GED Testing Service that your Official GED Testing Center be permitted to resume testing.
- Receive notification in writing by the GED Testing Service that you may resume testing.

Section 10.4 in the GED Examiner's Manual states that “GED Testing Centers having more than one incident of lost or compromise testing materials within a two-year period, or more than two such incidents within a five-year period, will be permanently closed by the GED Testing Service.

If, after a three-year waiting period, an institution wishes to reopen an Official GED Testing Center, the institution must submit a new application to the GED Administrator, and all established criteria must be met before consideration will be given to reopening.

GED Examiners will require student examinees to be seated before test booklets are assigned for testing. No student examinee is to leave until the GED Examiner goes to the student examinee to have the student turn in the test booklet. The student examinee must not leave the testing room until the GED Examiner gives the student examinee permission to leave the testing room. Any time tests are taken from the storage cabinet/safe to a testing room or site, the tests are to be put in a container with a covered top or lid. The container is to be always under the complete control and always within direct view of the examiner. Those tests that are not used for testing are
to be kept in the container. At no time student examinees are to be allowed to have access to the container.

Examiners are to account for all the tests taken to the testing room or site by inventory before leaving the testing room or site.

GED Examiners will require students taking the GED Tests to sit facing the Examiner with sufficient spacing between students such as a seat between students.

GED Examiners will require students taking the GED Tests to turn off cell phones and or pagers during the examination. Student cell phones and pagers should be secured by the students private with their other private belongings.
INSTRUCTORS

Payment for Non-Teaching Hours
Basic Skills instructors may be paid for non-teaching duties such as attending weekly meetings. Basic Skills instructors may be paid for non-teaching duties related to their classes if those duties and designated times for performing them are identified in their contracts. The duties listed should be specific, such as meeting with employers or curriculum development, not preparation time; however it is important to remember that programs do not earn budget FTE for these non-instructional hours and, if used extensively, could negatively affect future budgets. (CC-96-210)

Pay for Attending Conferences/Meetings/Field Trips
Colleges should pay instructors for their time while attending conferences or meetings and going on field trips, including travel time although colleges may not earn FTE for driving time to or from conferences, meetings, field trips, etc.

Qualifications for Instructors
According to SACS (Southern Association Accreditation), all Basic Skills lead instructors must have a bachelor's degree.

Proration of Salaries
Basic Skills directors who substitute-teach must prorate their salaries for the hours taught.
LITERACY EDUCATION INFORMATION SYSTEM (LEIS)

LEIS System
All local programs will submit data annually through an electronic information management system. Community colleges presently submit their data through an in-house system, the Literacy Education Information Management System (LEIS). This system or its equivalent will be used to collect accurate data from community colleges. Volunteer and community-based organizations presently submit to the System Office summary reports through Literacy Pro.

Movement from One Level of Basic Skills to the Next in LEIS
All Basic Skills students should be pre-tested with a standardized assessment upon entering the program and placed into the appropriate Basic Skills level based on the lowest assessment score. Students who score below 9.0 or its equivalent must be placed in the appropriate ABE level until a post-test score shows movement into adult secondary.
MINORS

Release Documents for Minors
It is necessary to obtain release documents from local public schools before enrolling minors unless they have been out of school for six months. Release documents are not needed for 18 year-olds currently enrolled in high school. The NCAC 2C.0301 Admissions to Colleges states “... each institution shall maintain an open door admission policy to all applicants who are high school graduates or who are at least 18 years of age.” The provisions set forth in NCAS 2C.0305 (b) must be followed when serving 16-17 year old students.

Testing of Minors for GED
A minor 16 or 17 years of age may be admitted to testing without a notarized petition from the parent/legal guardian and without an official withdrawal notice from a school who meets one of the following conditions:
- A person incarcerated in a correctional institution, correctional youth center, committed to a training school for adjudicated youth, or similar institution.
- A patient or resident of a North Carolina state-operated hospital or alcoholic rehabilitation center.
- A person enrolled in a program of instruction required by a state agency, the Job Corps or other such agency, or a registered apprenticeship training program.
- A member of the United States Armed Forces.

Questions for Serving Minors

Is it an option for community colleges to serve/enroll minors?
- It is optional for community colleges to provide educational services to minors under Rule 23 NCAC 2C.0305 (dual enrollment, cooperative programs or enrichment courses during the summer term).
- Although the State Board of Community Colleges has not specifically addressed this issue by rule, once a person is beyond the compulsory age limit of the public school (16) and has dropped out of school, it becomes difficult to defend community colleges not serving this person if services are requested since G.S. 115D-1 says community colleges are for persons beyond the compulsory age limit of the public schools who have also left school. Therefore, community colleges should serve minors, aged 16 and 17, who have dropped out of school.

Can minors be served in ESL? What are the admission requirements?
- Yes, colleges may serve persons who are 16 and 17 years old who have dropped out of school in ESL classes. Current policy does not allow Basic Skills programs to serve high school students in ESL classes.

What’s required for minors and who sets guidelines (state or local)?
- Colleges may establish academic standards for admissions to programs but only the State Board of Community Colleges can establish non-academic standards.
Academic standards established by colleges must not conflict with state or federal laws or with State Board of Community College rules.

**What kind of discipline and other rules can we impose on minors? What’s required and who sets guidelines?**

- Colleges should require minors to meet the same conduct standards as the adult students. Colleges shall not adopt different disciplinary procedures or grievance procedures for minor students.
- The State Board of Community Colleges does not exercise any authority over student discipline; therefore, regulation of student discipline is a local decision. However, minors should be subject to the same disciplinary policies and procedures as adult students.
- There are certain criminal laws that apply to student conduct on college campuses.

G.S. 14-269.2 makes it unlawful for any person including students to possess or carry or to cause any person to carry or encourage or aid a minor less than 18 to carry:

1. any firearm on education property or to a curricular or extracurricular activity sponsored by the college;
2. any bombs, grenades, mines, fireworks or powerful explosives on educational property, or to a curricular or extracurricular activity of the college;
3. to possess or carry, to cause, encourage or aid a minor to possess or carry a BB gun, stun gun, air rifle, air pistol, bowie knife, dirk-dagger, slingshot, lead cane, switchblade knife, blackjack, metallic knuckles, razors and razor blades, fireworks, or any other sharp-pointed or edge instrument except instructional supplies, unaltered nail files and clips and tools used for preparation of food, instruction, and maintenance.

Exceptions are:

1. weapons used solely for educational or school sanctioned ceremonial purposes, or use in a school approved program conducted under the supervision of an adult approved by the college;
2. weapons used by emergency services personnel and private police employed by the college while on duty.

G.S. 14-288.4 makes it unlawful to participate in disorderly conduct in campus buildings and facilities including the surrounding ground and premises.

Examples of disorderly conduct include:

1. fighting or other violent conduct;
2. making or using utterances, gestures, displays, or abusive language intended and likely to provoke violent retaliation;
3. taking over buildings; and
4. refusing to vacate a building or facility when asked by someone in authority to do so.

Some colleges have delegated to individual instructors the authority to set attendance policies, including timeliness policies for students in their class. This practice may allow individual instructors to address problems that occur in their classes. Any policies adopted must be general and apply to all students in the class.
Can we require minors to attend certain classes at certain locations?
No. However, a college may locate classes wherever the college deems appropriate, as long as minors are not restricted to any particular location.

Can we establish a class specifically for minors?
No. Only Huskins Bill courses may be started for high school students. Otherwise, colleges are prohibited from starting classes, offering summer school courses or offering regular high school courses for high school students.

Can we have different criteria (hrs. and/or course content/requirements) for minors?
Adult High School students who are minors must complete the number of units agreed upon by the college and the local public school; therefore, the number of units required of them may be different from students who are 18 and older. Colleges are not required to follow the same structure when teaching different sections of the same courses. Classes may be structured differently as long as the content is covered.

Serving Minors in Division of Youth/ DOC Facilities
Minors below 16 may be served if they are in programs operated by the Office of Juvenile Justice, but not if they are in programs operated by the Department of Correction. (CC-98-161)
Commission on Adult Basic Education (COABE)
The Commission on Adult Basic Education, COABE, was one of three commissions under the Association for Adult and Continuing Education (AAACE). As of 1999, COABE became a fully independent adult basic education organization, focusing on practitioner-oriented issues. COABE hosts an annual national conference for practitioners. COABE's web address is www.coabe.org.

Literacy Information and Communication System (LINCS)
LINCS is a cooperative electronic network of the National LINCS team at the National Institute for Literacy, four regional LINCS partners, representative organizations from the states and territories, and several major national organizations. The LINCS Network provides a single focal point for adult literacy resources, knowledge, and expertise from across the nation and the world. The coordinated efforts of the LINCS partners have made LINCS a nationally recognized one-stop site for literacy information and communication among literacy professionals. Connect to the LINCS network through the community college web site, under Basic Skills and Literacy Resource Center.

National Adult Educational Professional Development Consortium (NAEPDC)
NAEPDC was incorporated in 1990 to fulfill a desire of state adult education staff to enhance their professional development. Organized by state directors of adult education, NAEPDC has four main purposes: To coordinate, develop, and conduct programs of professional development for state adult education staffs; to serve as a catalyst for public policy review and development related to adult education; to disseminate information on the field of adult education; and to maintain a visible presence for the state adult education program in our nation's capitol. NAEPDC's web address: www.naepdc.org.

National Adult Literacy and Learning Disabilities Center (NALLD)
Funded by the National Institute for Literacy, NALLD provides information on learning disabilities in adults and on the relationship between learning disabilities and low-level literacy skills. The Center maintains a National Information Exchange Network, which includes a moderated listserv, a toll-free telephone number, and a database and library of resources on learning disabilities. Center products and publications include topical newsletters and fact sheets as well as a national resource guide and individual state resource sheets. The web address is www.novel.nifl.gov.

National Adult Literacy Database, Inc. (NALD)
The National Adult Literacy Database Inc. (NALD) is a federally incorporated, non-profit service organization which fills the crucial need for a single-source, comprehensive, up-to-date and easily accessible database of adult literacy programs, resources, services and activities across Canada. It also links with other services and databases in North America and overseas.

NALD gathers, sorts, stores and makes available information on literacy programming, resources, services, contacts, events, awards, funding sources, and, most importantly,
resources that can be used in the learning situation. NALD also provides an analysis of
the literacy information, e.g. demographics, impact of programming, etc.

NALD provides adult literacy information to practitioners, teachers, volunteers, program
administrators, policy setters and learners across the country through its toll-free "800"
number, on the Internet through its web address: http://www.nald.ca.

**National Center on Adult Literacy (NCAL)**
The National Center on Adult Literacy (NCAL) was established in 1990 with a
major grant from the U.S. Department of Education. The Center is currently
supported by federal, state, and local agencies as well as by private foundations and
corporations. NCAL is part of the University of Pennsylvania Graduate School of
Education, which is registered as a not-for-profit organization (501-C3) in the State of
Pennsylvania. NCAL's mission incorporates three primary goals: (1) to improve
understanding of youth and adult learning, (2) to foster innovation and increase
effectiveness in youth and adult basic education and literacy work, and (3) to expand
access to information and build capacity for literacy and basic skills service provision.
Web address: www.literacy.org

**National Center for Education Statistics (NCES)**
The National Center for Education Statistics (NCES) is with the Institute of Educational
Science at the United States Department of Education. It is the primary federal agency
for collecting and analyzing data that are related to education in the United States and
other nations. Web address: www.nces.ed.gov.

**National Center for Family Literacy (NCFL)**
The National Center for Family Literacy (NCFL), a nonprofit educational organization
headquartered in Louisville, Kentucky, was founded in 1989 with a grant from the
William R. Kenan, Jr. Charitable Trust. NCFL is recognized internationally as the leader in
the field of family literacy, an innovative approach to meeting the educational needs of
both children and their parents. By providing training for educators, researching
effective practices, and raising public awareness, NCFL works to expand the learning
opportunities for all families nationwide. Web address: www.famlit.org.

**National Center for the Study of Adult Learning and Literacy (NCSALL)**
The National Center for the Study of Adult Learning and Literacy (NCSALL) both informs
and learns from practice. Its rigorous, high quality research increases knowledge and
gives those teaching, managing, and setting policy in adult literacy education a sound
basis for making decisions. NCSALL is also a leader in designing innovative professional
development programs and in building support for research use. NCSALL’s work focuses
on four purposes:

- Increasing knowledge through research examining critical issues that affect program
  success and adult learning.
- Improving practice through such efforts as ESOL and ABE/GED labsites and the
  Practitioner Dissemination and Research Network.
- Providing leadership by bringing a research perspective to improving policy and
  practice, through such means as the Connecting Practice, Policy, and Research
initiative, the National Adult Literacy Summit, scholarships, and participation in professional meetings and national advisory panels.

- Sharing expertise through informative publications, videos, and face-to-face communications.

**National Clearinghouse for ESL Literacy Education (NCLE)**
The National Center for ESL Literacy Education (NCLE), works to provide services for programs focusing on the language and literacy education of adults and out-of-school youth learning English. NCLE provides information on adult ESL literacy education to teachers and tutors, program directors, researchers, and policymakers interested in the education of refugees, immigrants, and other U.S. residents whose native language is other than English. This population includes adults who are low-literate and low-skilled, as well as those who are more educated but need to improve their English language skills.

NCLE was established by Congress in 1988 (Adult Education Act, P.L. 100-297, Sec. 372) and is housed at the Center for Applied Linguistics (CAL), a non-profit organization located in Washington, DC. NCLE's activities were originally funded under the English Literacy Grants, but the current funding comes from the U.S. Department of Education--through the Office of Vocational and Adult Education (OVAE).

NCLE provides a variety of services to staff working in the field of adult ESL literacy education: information and referrals on individual questions regarding adult ESL literacy education; teacher training workshops and other professional development presentations; information and training on the Basic English Skills Test (BEST); development of instructional materials for adult ESL populations; facilitation of curriculum development for programs; program evaluations. Web address: [www.cal.org/ncle](http://www.cal.org/ncle).

**National Institute for Literacy (NIFL)**
The National Institute for Literacy (NIFL) is a federal organization that shares information about literacy and supports the development of high-quality literacy services so all Americans can develop essential basic skills. It was created as a part of the 1991 Literacy Act by a bipartisan congressional coalition to be the hub of national literacy efforts.

NIFL established the Literacy Information aNd Communication System (LINCS) and supports the National Adult Literacy and Learning Disabilities Center (NALLD). “Equipped for the Future” is a project started by NIFL. NIFL’s publications can be attained by ordering online or calling Ed Pubs toll-free at (877) 433-7827.

NIFL also has a nationwide database of local programs entitled America’s Literacy Directory. This directory and other information regarding NIFL may be found on NIFL’s web site at [www.nifl.gov](http://www.nifl.gov).

**Proliteracy America**
ProLiteracy America, the largest adult literacy organization in the United States, was established on October 1, 2002, with the merger of Laubach Literacy and Literacy
Volunteers of America, Inc. ProLiteracy America's 1,200 affiliates are represented in all 50 states and the District of Columbia. Visit the web site at www.proliteracy.org.

**Proliteracy Worldwide**
ProLiteracy Worldwide is represented in 45 developing countries as well as in the U.S. and serves more than 350,000 adult new learners around the world each year. It is the parent company of ProLiteracy America. Its purpose is to sponsor educational programs and services to empower adults and their families by assisting them to acquire the literacy practices and skills they need to function more effectively in their daily lives and participate in the transformation of their societies. Its publishing division, New Readers Press, produces and distributes approximately 500 titles of adult educational books and materials to literacy organizations, schools, libraries, and other institutions nationwide. New Readers Press also publishes News for You, a weekly newspaper for adult new readers, which is read by 90,000 adult learners. Its web site is also www.proliteracy.org.

**United States Department of Education, Office of Adult and Vocational Education (OVAE)**
The Office of Adult and Vocational Education, United States Department of Education, provides funding for Basic Skills/literacy programs by granting all states and some US territories federal funding. North Carolina receives approximately 14 million per year for its adult education programs. Visit the OVAE web site at www.ed.gov/OVAE.
Workforce Investment Act of 1998 (WIA—P.L. 105-220)
Title II of the Workforce Investment Act of 1998, Adult Education and Family Literacy Act, is the federal law that provides funding for Basic Skills/literacy programs in North Carolina.

History of the National Reporting System (NRS)
In 1995 Congress considered eliminating adult education as a separate program and integrating its activities into existing workforce development programs. To keep the program separate, it was essential to develop a strong data collection system to show the impact of adult education. The National Reporting System (NRS) is the national accountability system for adult education. A National Reporting System project was developed to establish that system by identifying measures for national reporting and their definitions, establishing methodologies for data collection, developing software standards for reporting to the United States Department of education and developing training materials and activities on NRS requirements and procedures.

Goals and Core Indicators of the Title II, Adult Education and Family Literacy Act
The following chart shows the goals and core indicators of Title II:

<table>
<thead>
<tr>
<th>Goals</th>
<th>Core Indicators</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assist adults to become literate and obtain the knowledge and skills necessary for employment and self-sufficiency.</td>
<td>Improvements in literacy skill levels in reading, writing, and speaking the English language, numeracy, problem-solving, English language acquisition, other literacy skills.</td>
</tr>
<tr>
<td>Assist parents to obtain the skills necessary to be full partners in their children’s educational development.</td>
<td>Placement in, retention in, or completion of, postsecondary education, training, unsubsidized employment or career advancement.</td>
</tr>
<tr>
<td>Assist adults in the completion of secondary school education.</td>
<td>Receipt of a secondary school diploma or its recognized equivalent.</td>
</tr>
</tbody>
</table>

Twelve Hour Rule
Since it is difficult for many students to make progress with fewer than 12 hours of study, the NRS project decided that states and outlying territories would only report to the United States Department of Education those students who received 12 or more hours of service. Note: North Carolina Community College programs receive state funding as well as federal; therefore, local programs should report all students served to the North Carolina Community College System Office. System Office staff report only those students with 12 or more hours to the National Reporting System.
Student Goals
The NRS requires a collection of student goals - both a main and a secondary reason - for attending the program. These goals must be ones that students can attain within one program year.

The National Reporting System requires all students to have at least one goal. Setting students' goals is an integral part of the education process. Goals help define areas in which instruction and learning is to be focused, and they also provide a benchmark by which programs and students can assess their progress (Guide for Improving NRS Data Quality: Procedures for Data Collection and Training, USDOE, June 2002). Goals collected for the NRS should be short-term goals that can be reached within the program year. Instructors and/or intake counselors need to work closely with students to help select the goal(s). Long-term goals are extremely important and need to be collected, but not as a part of the NRS if the goal is not attainable within the program year.

Students who have the outcome goals of obtaining employment, retaining employment, achieving a GED or high school credential, or entering postsecondary education will be matched by the state's data match system annually to determine if those goals are met. Programs only get credit for the students meeting the outcome goals only if students set those goals during the program year. (For example, if a student did not have a goal of getting a job, but did get a job, the student is not counted as meeting that goal.)

Core NRS Measures
Three types of core measures are required:

1) **Outcome measures** which include educational gain, entered employment, retained employment, receipt of Adult High School diploma or GED, and placement in postsecondary education or training;

2) **Descriptive measures**, including student demographics, reasons for attending and student status;

3) **Participation measures** of contact hours received and enrollment in instructional programs for special populations or topics (such as family literacy or workplace literacy).

Performance standards required by WIA have been set for the core outcomes for each state and incentive grants are awarded based on these standards.

The following chart shows the required NRS measures and definitions.
## NRS Core Measures and Definitions

<table>
<thead>
<tr>
<th>Topic</th>
<th>Core Outcome Measures</th>
<th>Categories or Definitions</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Educational Gains</strong></td>
<td>Educational Gains</td>
<td>Educational functioning levels in reading, writing, speaking and listening and functional areas.</td>
</tr>
<tr>
<td><strong>Follow-up Measures</strong></td>
<td>Entered Employment</td>
<td>Learners who obtain a job by the end of the first quarter after exit quarter</td>
</tr>
<tr>
<td></td>
<td>Retained Employment</td>
<td>Learners who remain employed in the third quarter after program exit</td>
</tr>
<tr>
<td></td>
<td>Receipt of secondary school diploma or GED</td>
<td>Learners who obtain a GED, secondary school diploma or recognized equivalent</td>
</tr>
<tr>
<td></td>
<td>Placement in postsecondary education or training</td>
<td>Learners enrolling in a postsecondary educational or occupational skills program building on prior services or training received</td>
</tr>
</tbody>
</table>

## NRS Core Descriptive and Participation Measures

<table>
<thead>
<tr>
<th>Demographics</th>
<th>Ethnicity</th>
<th>American Indian or Alaskan Native, Asian, Native Hawaiian or Pacific Islander, Black or African American (non-Hispanic), Hispanic or Latino, White (non-Hispanic)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Gender</td>
<td>Male, female</td>
</tr>
<tr>
<td></td>
<td>Age</td>
<td>Date of birth</td>
</tr>
<tr>
<td><strong>Status and Goals</strong></td>
<td>Labor force status</td>
<td>Employed, not employed, not in labor force</td>
</tr>
<tr>
<td></td>
<td>Public assistance status</td>
<td>Receiving or not receiving assistance</td>
</tr>
<tr>
<td></td>
<td>Rural residency</td>
<td>Rural, not rural</td>
</tr>
<tr>
<td></td>
<td>Disability status</td>
<td>Disabled, not disabled</td>
</tr>
<tr>
<td></td>
<td>Learner main and secondary reasons or goals for attending</td>
<td>Obtain a job, retain current job, improve current job, earn a secondary school diploma or GED, enter post-secondary education or training, improve basic literacy</td>
</tr>
</tbody>
</table>
NRS Secondary Measures
NRS secondary measures include additional outcome measures related to employment, family and community that adult education stakeholders believe are important. States are not required to report on these measures to the United States Department of Education.

The following chart shows the secondary NRS measures and definitions:

<table>
<thead>
<tr>
<th>NRS Core Measures and Definitions</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Topic</strong></td>
</tr>
<tr>
<td>Employment</td>
</tr>
<tr>
<td>Work-based Project Learner Achievement</td>
</tr>
<tr>
<td>Community</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td></td>
</tr>
</tbody>
</table>
Family

- Involvement in children’s education
- Involvement in children’s literacy-related activities
- Learner increases help given for children’s school work, contact with teachers to discuss education, and involvement in children’s school
- Learner increases the amount read to children, visits libraries, or purchases books or magazines for children

Student Status

- Low income status
- Displaced homemaker
- Single parent status
- Dislocated worker
- Learning disabled adult
- Low income, not low income
- Displaced homemaker, not displaced homemaker
- Single parent, not single parent
- Dislocated worker, not dislocated worker
- Learning disabled, not learning disabled

**Assessment of Students**

The NRS requires assessment of all students in the program (except pre-designated “work-based project learners”) on intake to determine their educational functioning level. (See section on “Assessment of Basic Skills.”) Students are placed into one of the following programs based on their lowest standardized assessment score:

- Adult Basic Education
  - Beginning ABE Literacy
  - Beginning ABE
  - Low Intermediate ABE
  - High Intermediate ABE
- Adult Secondary (Adult High School and GED)
  - Low Adult Secondary
  - High Adult Secondary
- English as a Second Language
  - Beginning ESL Literacy
  - Beginning ESL
  - Low Intermediate ESL
  - High Intermediate ESL
  - Low Advanced ESL
  - High Advanced ESL

After 50 to 75 hours of instruction, students are post-tested with the same standardized instrument used for the pre-test (but a different form) to determine whether the students have progressed one or more levels or are progressing at the same level.
Data Match for Core Outcome Measures

North Carolina uses a data matching system to obtain the following core outcome measures: entered employment, retained employment, receipt of a secondary school diploma or GED and placement in postsecondary education or training. Matching is conducted by System Office staff using common follow-up systems. Social security or tax identification numbers are required for a match; therefore, it is imperative that local programs obtain as many social security or tax identification numbers as possible. Matches are conducted only for students who have one or more of the goals listed above. Only students who enter state-supported community colleges/universities are matched for placement in postsecondary education or training.

The NRS allows states to obtain the core outcome measures listed above by data match or follow-up survey. If a state uses follow-up survey, then local programs must send surveys out to all students with the goals of entered employment, retained employment, receipt of a secondary school diploma or GED and placement in postsecondary education or training. The local programs must then compile the results and submit them to the state office. Local programs must receive a survey response rate of 50% or higher. Even though there are problems inherent with the data match system, North Carolina selected it as the way to collect these core outcome measures rather than having local programs have to conduct follow-up surveys.

Work-based Project Learners

North Carolina will also use project learner outcome measures according to the following procedures outlined in the National Reporting System (NRS):

- The project learner measure will be completion of work-based project learner activity.
- The definition for progress of a project learner is "learner acquires the skills taught in a short-term learning course designed to teach specific work-based skills." A short-term course is an instructional program of at least 12 hours but no more than 30 hours duration.
- At intake, the individual learner is identified as a work-based project learner and as entering a project learning instructional activity. The instruction must be designed to teach work-based skills and must specify the educational outcomes and standards for achievement. The final assessment of skill achievement must be a standardized test or a performance-based assessment with standardized scoring rubrics.
- For federal reporting, the total number of learners who complete a work-based project learner activity is recorded. A rate of percentage can be computed by dividing this total by the total relevant population (number of work-based project learners). Project learners are not counted for the educational gain measure and are not assigned an educational functioning level. No core outcomes measures are reported for project learners.
NORTH CAROLINA LITERACY AGENCIES

MOTHERead, Inc.
MOTHERead is a not-for-profit agency which explores connections between literacy and literature, develops curricula around family themes, and trains family literacy practitioners in contextual practices.

North Carolina Center for Family Literacy
The Center is a state partner of the National Center for Family Literacy. It provides training in comprehensive family literacy and acts as a resource to the state’s Even Start programs.

North Carolina Literacy Association
The North Carolina Literacy Association (NCLA) is an association of volunteer literacy programs which provides support services and a unified voice to advocate for volunteer literacy.

SCALE (Student Coalition for Action in Literacy Education)
SCALE is a not-for-profit agency affiliated with the University of North Carolina at Chapel Hill. SCALE acts as a clearinghouse, offers training opportunities, and provides program development assistance to campus based literacy programs across the United States.

VOICES
VOICES is a not-for-profit agency which explores creative approaches to literacy and offers training in writing-based literacy instruction.
Description of Center
The North Carolina Community College Literacy Resource Center was incorporated with the Community College System Office in January 1998. The Center's staff includes a coordinator and a support staff person. The Center's staff will continue to operate as an integral part of the System Office's Basic Skills Section, supported by state leadership funds. The Center's staff will report directly to the Associate Vice President of Basic Skills. The Center's main responsibilities continue to be in raising public awareness of Basic Skills/literacy programs, helping the public locate Basic Skills/literacy resources, and linking local programs and state agencies to innovative national initiatives.
NUMBERED MEMOS

Purpose of Numbered Memos
North Carolina Community College System Office staff are required to send all correspondence going to ten or more colleges in the form of a numbered memo. All numbered memos are then posted on the community college system’s web site for easy access for local program staff.

Select Numbered Memos
Select numbered memos are those that do not go to all fifty-eight community colleges, but to some of them. An example would be a memo addressed to all programs that have an ESL/Civics grant. More than 10 colleges have those grants, but not all 58 colleges have such programs. Correspondence to select colleges with such a program would be listed as a select numbered memo.
PATHWAYS TO EMPLOYMENT PROGRAMS

Description of Program
Pathways to Employment programs are short-term training programs designed specifically for welfare recipients, but open to all eligible students. The purpose of these programs is to provide the skills, attitudes, and knowledge necessary for obtaining employment. Pathways programs consist of the following three integrated components: Basic Skills, Occupational Extension, and Human Resources Development (job-seeking/job-keeping skills). The goal of the program is to provide short-term training that leads to employment.

Application for Grant
Programs may apply for Pathways to Employment grants on a competitive basis. To be eligible to receive funding for Pathways to Employment, a local program must complete the Pathways to Employment section of the Basic Skills Program Plan and Application. Community College System Office staff will evaluate the proposals using the criteria outlined in the Workforce Investment Act with points for criteria outlined below:

<table>
<thead>
<tr>
<th>Criteria for Scoring</th>
<th>Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>The program has proven past effectiveness in offering a Pathways to Employment program.</td>
<td>10</td>
</tr>
<tr>
<td>Occupational Extension program is offered based on needs of community.</td>
<td>20</td>
</tr>
<tr>
<td>Employability skills are taught which relate directly to the Occupational Extension course(s) being offered.</td>
<td>20</td>
</tr>
<tr>
<td>Basic skills are taught which relate directly to the Occupational Extension course(s) being offered.</td>
<td>30</td>
</tr>
<tr>
<td>Program staff coordinates all activities.</td>
<td>20</td>
</tr>
<tr>
<td>Total Points out of 100:</td>
<td></td>
</tr>
</tbody>
</table>

To be recommended for Pathways to Employment funding, applications must earn a score of 80 or above on the evaluation criteria. All acceptable applications will be recommended to receive funding on a competitive basis subject to the availability of funds. Five representatives from local programs will meet with System Office staff to review applications and determine which programs will be funded and how much funds will be awarded per program. Pathways to Employment grants were allocated on a competitive basis during the 1999 – 2001 funding cycle and will be awarded on a competitive basis every two years.
PROJECT LEARNERS

Description of Project Learners
The project learner measure will be the completion of work-based project learner activity.
The definition for progress of a project learner is "learner acquires the skills taught in a short-term learning course designed to teach specific work-based skills. A short-term course is an instructional program of at least 12 hours but no more than 30 hours duration.

Guidelines for Reporting Project Learners
North Carolina will use project learner outcome measures according to the following procedures outlined in the National Reporting System (NRS):

• At intake, the individual learner is identified as a work-based project learner and as entering a project learning instructional activity. The instruction must be designed to teach work-based skills and must specify the educational outcomes and standards for achievement. The final assessment of skill achievement must be a standardized test or a performance-based assessment with standardized scoring rubrics.
• For federal reporting, the total number of learners who complete a work-based project learner activity is recorded. A rate of percentage can be computed by dividing this total by the total relevant population (number of work-based project learners). Project learners are not counted for the educational gain measure and are not assigned an educational functioning level. No core outcome measures are reported for project learners.

(Note: If you have workplace learners who are in a program designed for less than 30 hours, you may code them as work-based project learners. The benefit to you is that you don’t have to pre-/post-test them, but they will also not be in the total student count sent to the United States Department of Education. You will earn FTE for project learners. For most students with 12 hours or more, it is recommended that programs assess them and place them in an appropriate Basic Skills level rather than coding them as project learners.)
PROGRAM MONITORING

Purpose for Monitoring Visits
System Office staff will conduct on-site program monitoring of 20% of its programs annually. Staff will use the Basic Skills Program Monitoring Checklist to evaluate the effectiveness of programs, services, and activities. System Office staff will complete the Basic Skills Monitoring Checklist for all programs monitored. A record of all programs monitored will be kept at the System Office. Copies of the program monitoring report will be sent to each local program, one to the program director and the other to the community college president or the chairperson of the community based organization.

Each program monitored will be required to submit to the System Office, with their annual report, an explanation of how they have addressed any areas for improvement listed in the monitoring report. Programs that have many areas for improvement will receive technical assistance from System Office staff and may receive a follow-up monitoring visit during the next program year.

The “North Carolina State Basic Skills Plan” states that all staff from the System Office will monitor all programs funded within a five-year period of time. Basic Skills staff selects and monitors twenty percent of all programs annually.

Number of Programs Visited
Twenty percent of all funded programs, including community college and community-based organizations, must be monitored each year.

Forms for Program Monitoring
A program monitoring form may be found on the community college system web site under “Basic Skills.” www.ncccs.cc.nc.us
STATE AND FEDERAL OUTCOME MEASURES

State

75% Retention Rate
The State of North Carolina requires all colleges to meet a Basic Skills performance outcome measure which is a 75% retention rate. The data needed to calculate the percentages for the progress of Basic Skills students is found on Table 3 (Table 4 for CIS colleges) of the Annual Literacy Data Report. First, subtract the number of students who moved to a higher level from the number of students who completed a level. The number of students listed as “Moved to a Higher Level” is a subset of “Completed a Level.” Then, calculate the percentages of the total number of students served for the following four fields:
- Number Level(s) Completed
- Number Progressing
- Number Separated
- Number Moved
The percentages of Number Level(s) Completed, Number Progressing, and Number Moved should total 75% or more.

Quality Indicators
The following are the Quality Indicators North Carolina will use in addition to the performance outcomes. These measures are no longer required, but help programs demonstrate student progress and evaluate program outcomes.
1) Learners demonstrate progress toward attainment of basic skills.
   A. 100% of all students have an identifiable goal documented in their records.
   B. *At least 60% of all students show progress based upon one or more of the following factors as appropriate for the students. Progress based on test scores.
      a. Students show growth on at least one post-test.
      b. Students pass at least one GED test or AHS unit.
   1. Portfolios of students' work which document improved student performance.
   2. Documentation of mastery of employability/life skills.
   3. Documented reports of student accomplishments.

*Note: This is not the same measure as a state requirement for 75% retention.

2) Learners advance in the instructional program or complete program educational requirements that allow them to continue their education.
   A. At least 55% of all students how progress within the initial level.
   B. At least 60% of the students completing levels of CED, ABE, and ESL advance to a higher level of basic skills instruction during the year.
   C. At least 25% of the combined enrollments in GED and AHS programs complete the educational requirements for a diploma each year.
D. At least 15% of the students are referred to or enter other educational, training, or human service agency programs each year.

3) Students remain in the program long enough to meet their educational goals.
   A. At least 60% of the students are retained in the program long enough to meet their educational goals.

The three indicators have a total of seven goals. Comprehensive programs (community colleges) will have to reach a minimum of 4 of the 7 goals annually. Community-based organizations will have to reach a minimum of 3 of the 7 goals. Not reaching the goals could result in a reduction in funding.

Federal

1) Demonstrated improvements in literacy skills levels in reading, writing and speaking the English language, numeracy, problem-solving, English language acquisition, and other literacy skills.
2) Placement in, retention in, or completion of, post-secondary education, training, unsubsidized employment or career advancement.
3) Receipt of a secondary school diploma or its recognized equivalent.

To the extent possible, the Community College System will use North Carolina Employment Security System’s wage income records to collect outcome data of former participants at 6-month, 12-month, and 15-month intervals. North Carolina has developed a system that allows individual agencies to share data without comprising confidentiality. This data may be obtained at the state level for local community college programs because all of them collect social security numbers. It will be more difficult to get the data for community-based organizations because the majority of them currently do not collect social security numbers.

The wage income records do not give a complete picture of employment, however, because not all people who get jobs are tracked (such as those who work for some small businesses). In order to get a broad picture of the outcomes, local programs may also conduct follow-up surveys at the 6-month, 12-month, and 15-month intervals following the procedures outlined by the United States Department of Education.
TRAINING

Appalachian State University Staff Development Project
Since 1988 Appalachian State University has received a grant for a staff development project. Currently the project offers two week-long institutes per year on Adult Basic Education programs, an annual retreat for three year Institute graduates, and products to support and enhance the programs.

Basic Skills Directors Institute
Basic Skills directors receive annual training on top management issues at the Basic Skills Directors Institute. Currently the Institute is held in conjunction with the North Carolina Community College Adult Educators Association (NCCCAEA) conference.

Basic Skills New Directors Orientation
System Office staff provides annual training to new directors and/or coordinators at the Basic Skills New Directors Orientation. Offered once a year at the System Office, this training is a “must” for new directors and/or coordinators.

Basic Skills Family Literacy Conference
The annual Basic Skills/Family Literacy Conference provides an opportunity for program administrators and instructional staff to learn what is new in the field of Basic Skills and family literacy. The conference is attended by over 700 professionals each year. It is an exciting conference and an excellent opportunity for learning new ideas and networking.

Comprehensive Adult Student Assessment System (CASAS) Training
The North Carolina Community College System provides free-of-charge CASAS training for all Basic Skills/literacy programs in the state. Although the System Office will facilitate training for assessments sold and distributed by for-profit companies, CASAS is a private, non-profit agency; therefore, training for that system is offered by eight CASAS trainers who will provide CASAS training free of charge to all Basic Skills/literacy programs in North Carolina. Most training will be conducted in regional settings, but programs that need individualized training sessions need to contact the Basic Skills Training Specialist to schedule such sessions.

Compensatory Education Coordinators Conference
The Compensatory Education Coordinators Conference is held annually for all fifty-eight Basic Skills/Compensatory Education programs.

MOTHEREAD / National Center for Family Literacy
Through special projects, the North Carolina Community College System offers training for comprehensive family literacy programs through MOTHEREAD and the National Center for Family Literacy. Training needs are assessed annually and a training program is developed from those needs.
WORKPLACE BASIC SKILLS

Workplace Basic Skills Programs
Workplace Basic Skills is a program to improve the literacy skills needed to perform a job and at least partly under the auspices of an employer. Although some programs are offered in specialized workplace labs on college campuses, most of them are offered at the worksite.

Basic Skills in the Workplace
The North Carolina Community College System produces an annual publication entitled “Basic Skills in the Workplace.” This publication highlights workplace literacy programs in North Carolina community colleges.

Pre-Employment Assessments
A federal judge ruled that using the TABE (Tests of Adult Basic Education) as a pre-employment exam for apprentice dock workers discriminates against minorities because it tests skills that have little to do with longshore work. A settlement to workers was for $2.75 million. If you are using the TABE or any other basic skills test of general knowledge (CASAS, ABLE, AMES, BEST) to screen potential employees for a company, you need to be able to match every competency covered by the test to each specific job for which screening is being conducted. Since this is extremely difficult, it is best not to use general tests for occupational screening. (CC00-148)

Work-based Project Learners
North Carolina will also use project learner outcome measures according to the following procedures outlined in the National Reporting System (NRS):
- The project learner measure will be completion of work-based project learner activity.
- The definition for progress of a project learner is “learner acquires the skills taught in a short-term learning course designed to teach specific work-based skills.” A short-term course is an instructional program of at least 12 hours but no more than 30 hours duration.
- At intake, the individual learner is identified as a work-based project learner and as entering a project learning instructional activity. The instruction must be designed to teach work-based skills and must specify the educational outcomes and standards for achievement. The final assessment of skill achievement must be a standardized test or a performance-based assessment with standardized scoring rubrics.
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